

TEXAS DEPARTMENT OF PUBLIC SAFETY



REGULATORY SERVICES DIVISION PRIVATE SECURITY ADVISORY COMMITTEE

FY 2021 Q3 Report
Revision Date: June 2021



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1. PRIVATE SECURITY

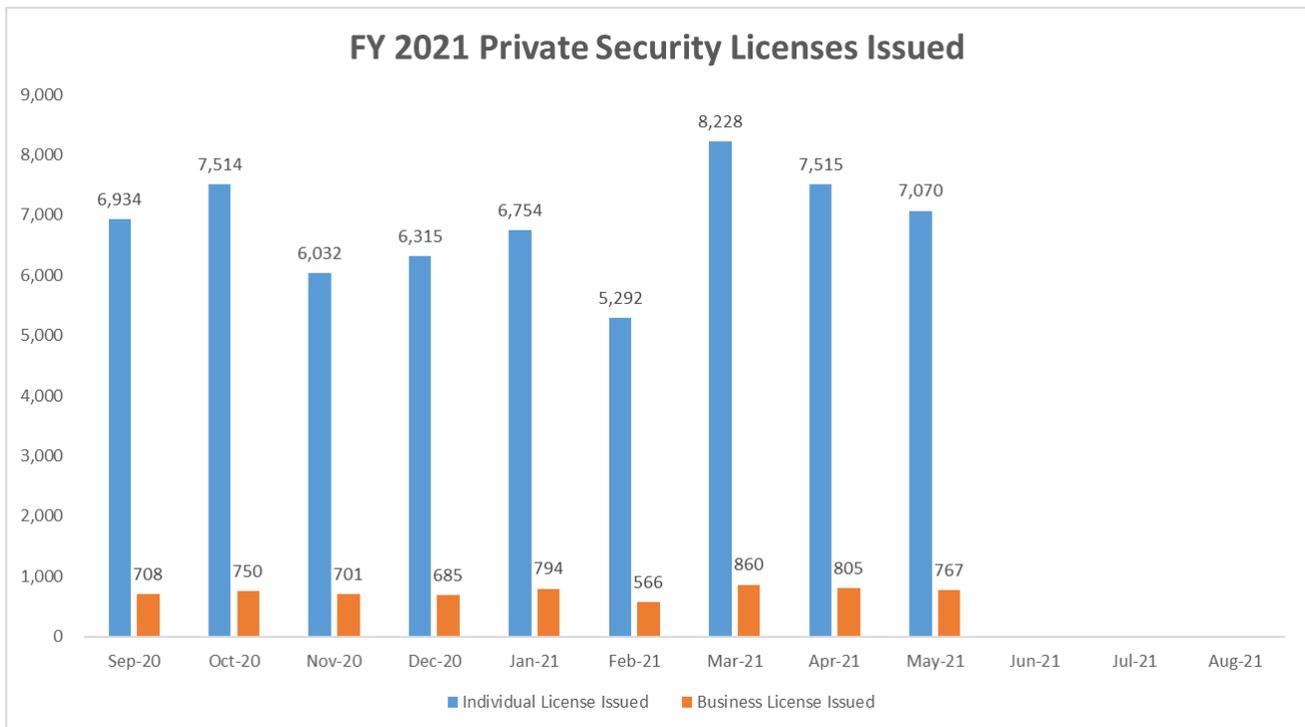
1.1. OVERVIEW

The Regulatory Services Division regulates the private security profession in Texas. The Division protects the public by conducting fingerprint-based background checks on applicants, investigating and resolving complaints, and taking disciplinary action against licensees or seeking criminal prosecution of those who perform private security services without a license.

The private security profession includes armed and unarmed security guards, personal protection officers, private investigators, alarm systems installers and monitors, armored car couriers, electronic access control device installers, and locksmiths. DPS regulates the private security profession under the authority of the Texas Occupations Code, [Chapter 1702](#), and the related administrative rules ([Title 37, Part 1, Chapter 35](#)).

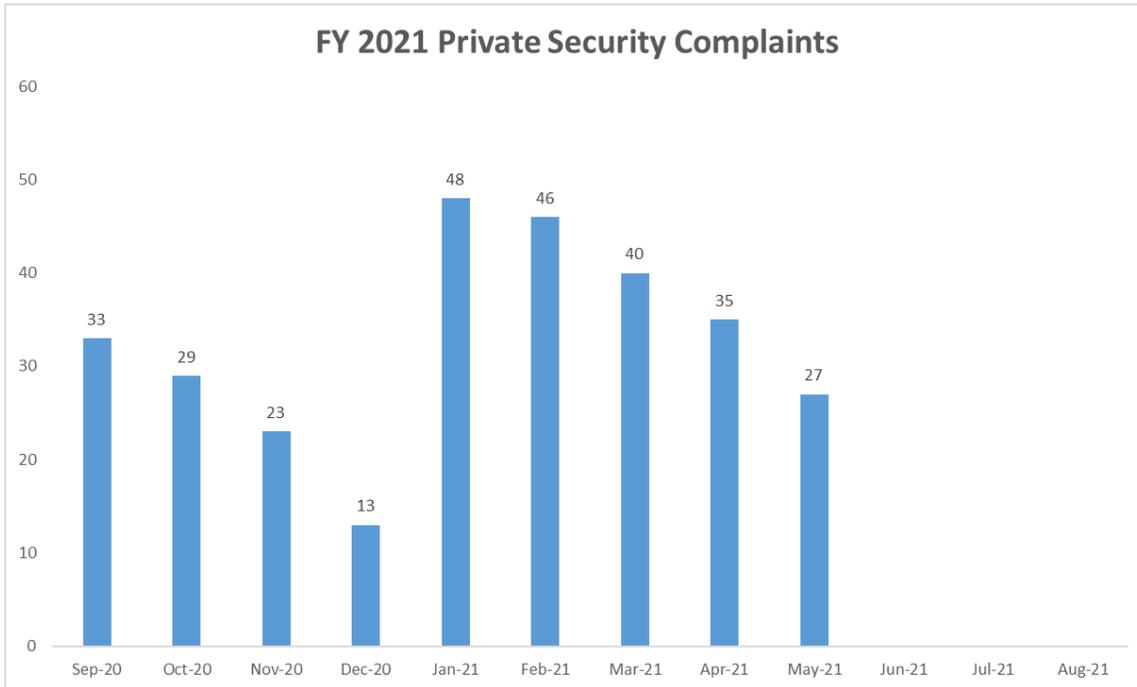
1.1. LICENSES ISSUED

In FY 2021, the Regulatory Services Division has issued 61,654 individual licenses and 6,636 business licenses.



1.2. COMPLAINTS

In FY 2021, the Regulatory Services Division has received 294 complaints: 88 were referred for disciplinary action, two were referred to a non-law enforcement agency, three was referred to an outside law enforcement agency, and the remainder resulted in no action due to insufficient evidence or no violation found.



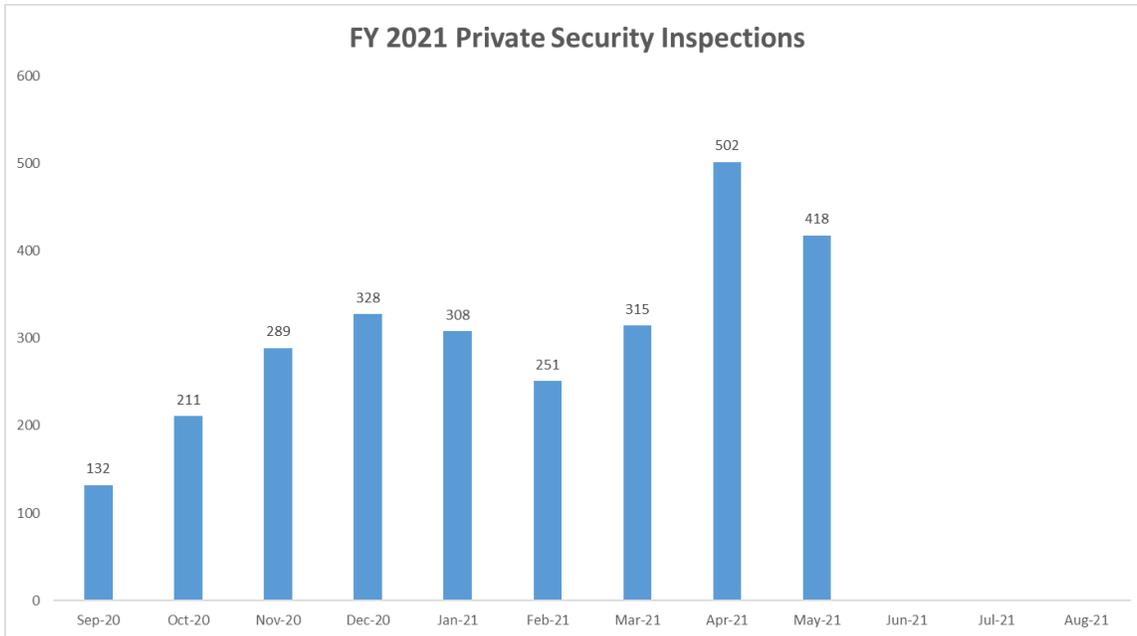
i VIOLATION DESIGNATION

Advertisement Violation	7
Arrest Notification	3
Assault	4
Brandish Firearm	1
Contract Violation	12
Criminal Trespass	1
Deceptive Business Practices	22
Eligibility	1
Employing an Unqualified Person	6
Equipment	3

Failure to Register	3
Firearms Discharge	9
Fraud	3
Harassment	5
Impersonating a Public Servant	4
Impersonating a Security Officer	4
Non-Compliance	3
Operating with Expired License	19
Operating with Suspended Insurance	1
Operating without a License	74
Operating without Company Representative	6
Pocket Card	1
Records Issue	3
Safety Issue	2
Standards of Conduct	10
Tampering with a Government Document	2
Theft	7
Uniform	12
Unregistered Entity	6
Other	60

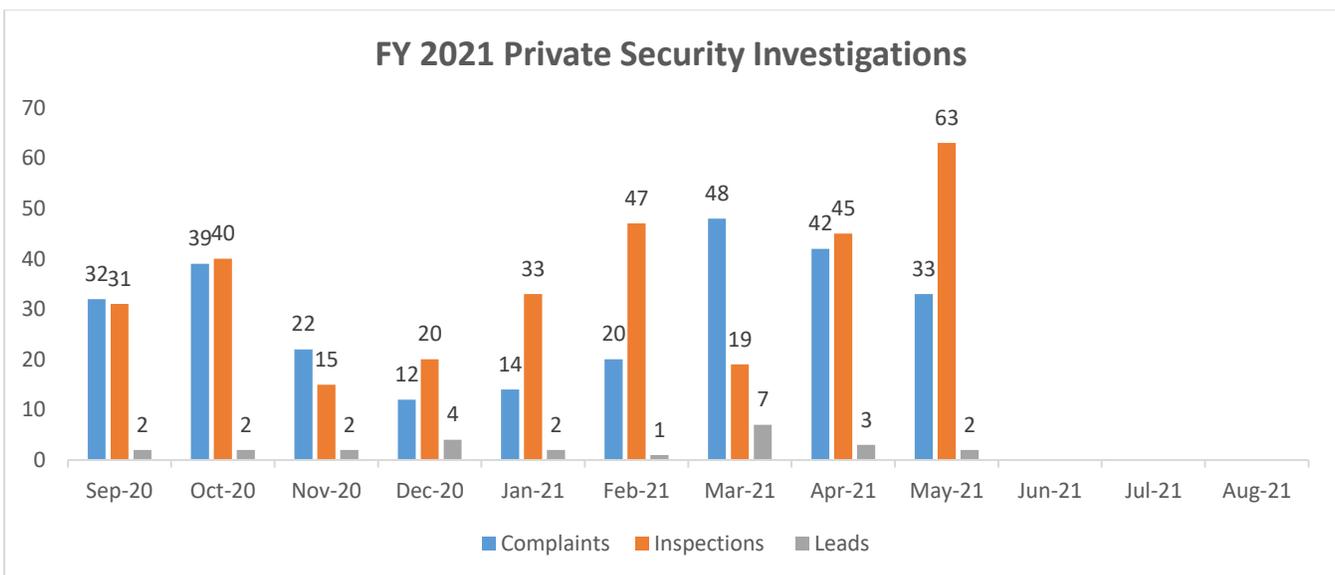
1.3. INSPECTIONS

In FY 2021, the Regulatory Services Division has conducted 2,754 compliance inspections.



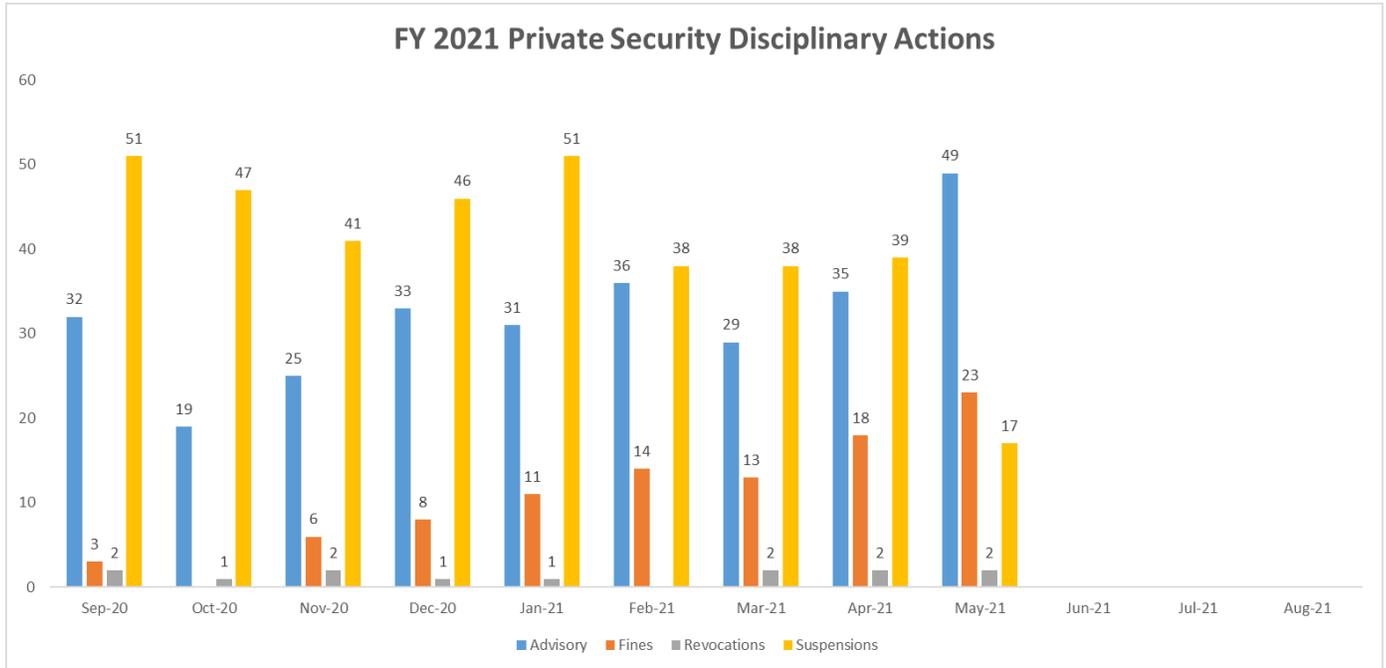
1.4. INVESTIGATIONS CONDUCTED

In FY 2021, the Regulatory Services Division has conducted 600 investigations: 262 from complaints, 313 from inspections, and 16 were referrals from law enforcement or other agencies.



1.5. DISCIPLINARY ACTIONS

In FY 2021, the Regulatory Services Division has issued 766 formal disciplinary actions: 289 advisory notices, 96 fines, 13 revocations, and 368 suspensions.



2. CALCULATION DEFINITIONS

The reporting period is calculated for Fiscal Year 2021, from September 1, 2020 through May 31, 2021.

2.1. LICENSES ISSUED

The number of licenses issued under the Private Security program during the reporting period.

i DEFINITION

The figure reflects the number of new and renewal program licenses issued during the reporting period.

2.2. COMPLAINTS

The number and types of complaints received and resolved by the Department during the reporting period.

i COMPLAINT DEFINITION

A complaint is defined as any formal written statement received from a member of the public providing facts or cause for action against a licensed/authorized person or business, or a person/business working without a license in a program regulated by the Regulatory Services Division.

ii RECEIVED AND RESOLVED

Complaints are documented on the day received by the Department through an electronic form, email, fax, or the United States Postal Service.

RSD investigates complaints, and records are documented as closed on the day the Division disposes of the complaint. Complaint disposition points include: closed for insufficient evidence, no violations found during the investigation, administrative violations found and notification sent to the subject, or criminal violations are found and referred to law enforcement for further investigation.

2.3. INSPECTIONS

The number of compliance inspection conducted during the reporting period.

2.4. INVESTIGATIONS CONDUCTED

The number of investigations conducted by the Department during the reporting period.

i DEFINITION

This is a cumulative number reflecting investigations resulting from received complaints*, violations found during a routine inspection, leads from law enforcement and other agencies, and program metrics analysis (Vehicle Inspection Clean Scan). Since an investigation can be conducted without a complaint (i.e., violations

found during a routine inspection or reviewing program metrics), it is important to note that the number of complaints and investigations conducted will not always match in this report.

**Note: Complaints investigated during the reporting period will not match complaints received and resolved during the reporting period. The reporting period is the current fiscal year, however, complaints received at the end of the previous fiscal year may not be resolved until the next fiscal year. This will result in the numbers not matching.*

2.5. DISCIPLINARY ACTIONS

The number and types of disciplinary actions taken by the Department during the reporting period.

i DEFINITION

This calculation reflects all the disciplinary actions taken by the Division during the reporting period. An action is defined as formal notification to the subject of violations of program statute or administrative rules. Disciplinary actions taken can be the outcome of a complaint, inspection, investigations, background report, and notice of arrest or ineligibility to remain a licensee. Since disciplinary action can be taken without a formal investigation such as cases where a licensee becomes ineligible, it is important to note that the number of disciplinary actions may not match the number of complaints or investigations in this report.

Disciplinary action types in the report include advisory notices, cease and desist, fine, reprimand, revocation, or suspension.