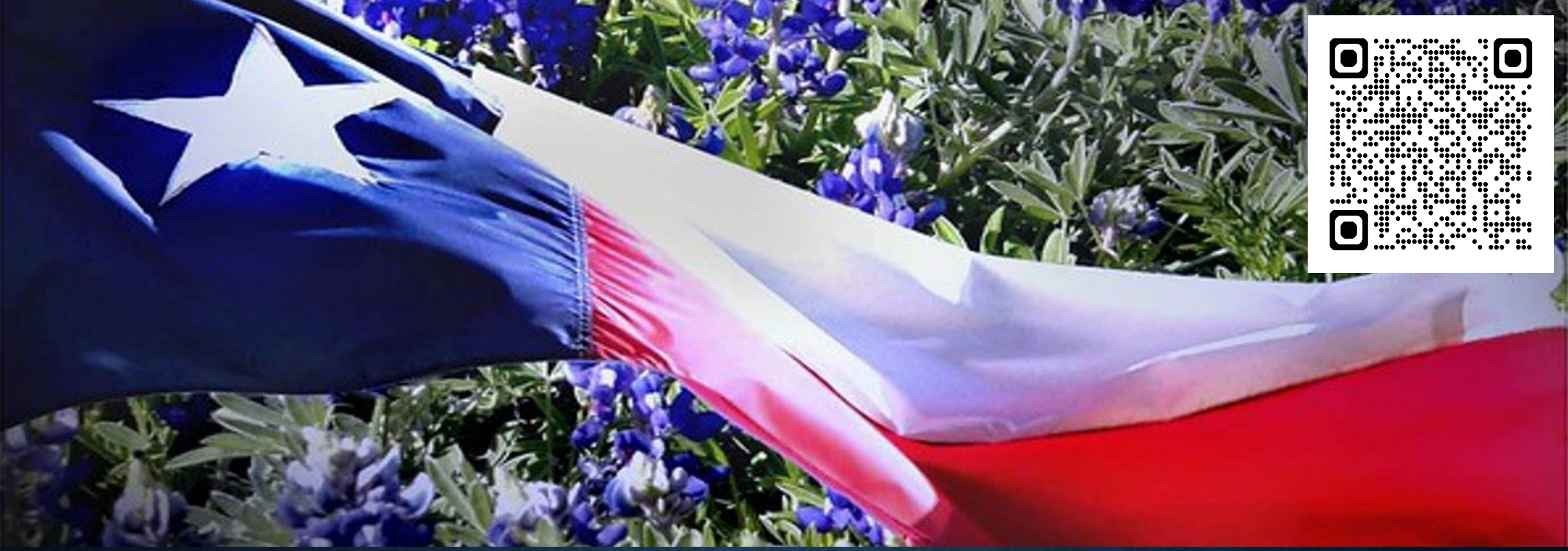
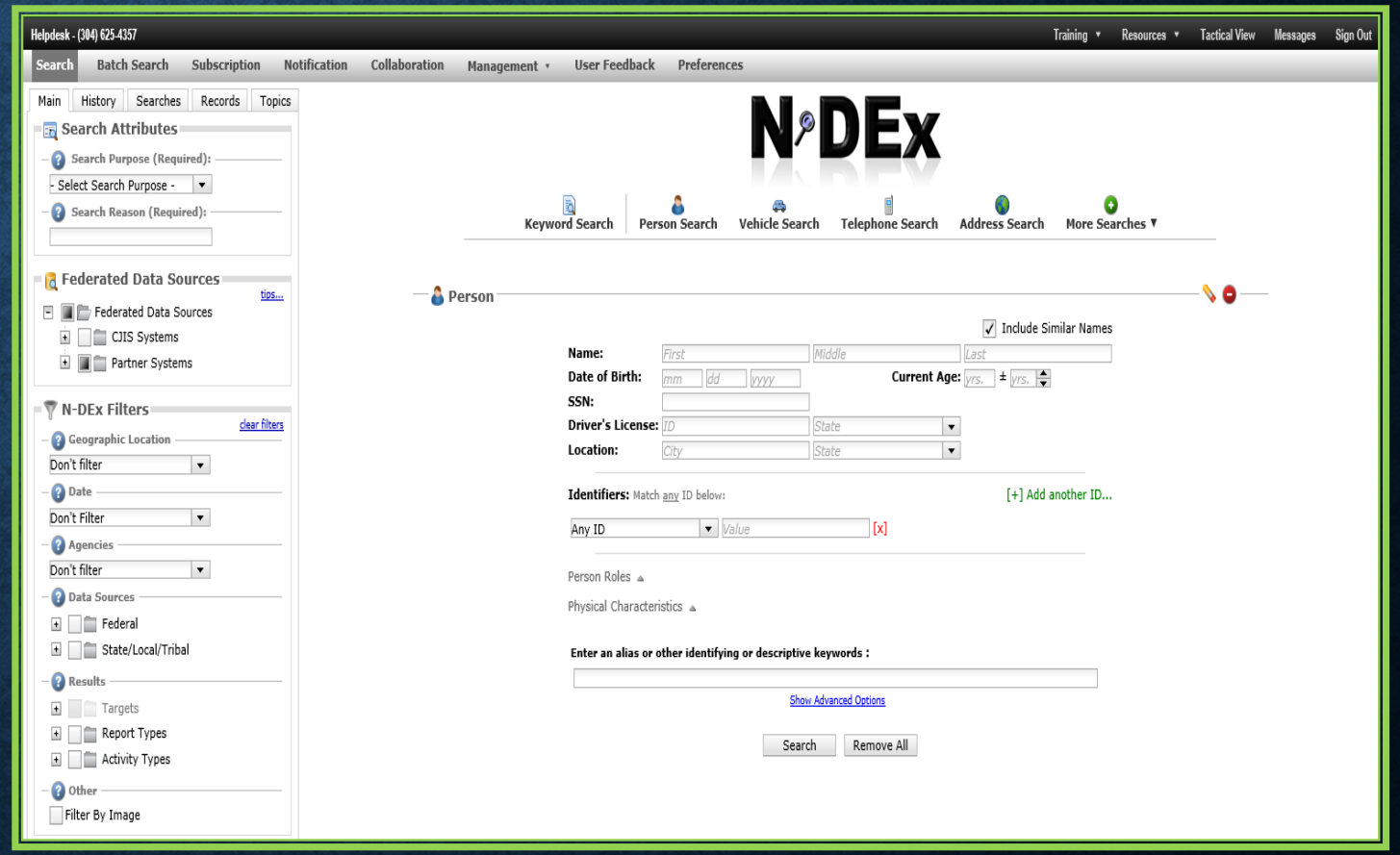


WELCOME
to
N-DEx Overview and Audit

WHAT IS N-DEx?

- ✓ Online National investigative data sharing system the FBI built.
- ✓ State, local, tribal, regional, and federal data.
- ✓ Available 365/24/7 from any secure internet-capable device.
- ✓ Data covers the entire life cycle, from initial contact to release from prison.
- ✓ No fee service.



THE N-DEx PROVIDES CENTRALIZED, "ONE-STOP SHOPPING" FOR INVESTIGATORS, ANALYSTS, AND CRIMINAL JUSTICE PROFESSIONALS.

Arrest

Federal Case

Incarceration

Traffic Citation

WHAT THE N-DEx DATABASE CAN DO?

Investigations

Background Checks

Cops hiring Cops

Search Large #'s of names (Batch Query)

Deconfliction

Generate leads "connect the dots"

Monitor Subjects (Subscription)

WHAT WE SHARE

THIS INFORMATION SYSTEM IS ACCREDITED TO PROCESS

UNCLASSIFIED

FOR AUTHORIZED PURPOSES ONLY

Incidents	**Incarcerations**
Arrests	**Probation**
Bookings	**Parole**
Holdings	**Warrant Investigations**
Federal Case Reports	**Service Calls**

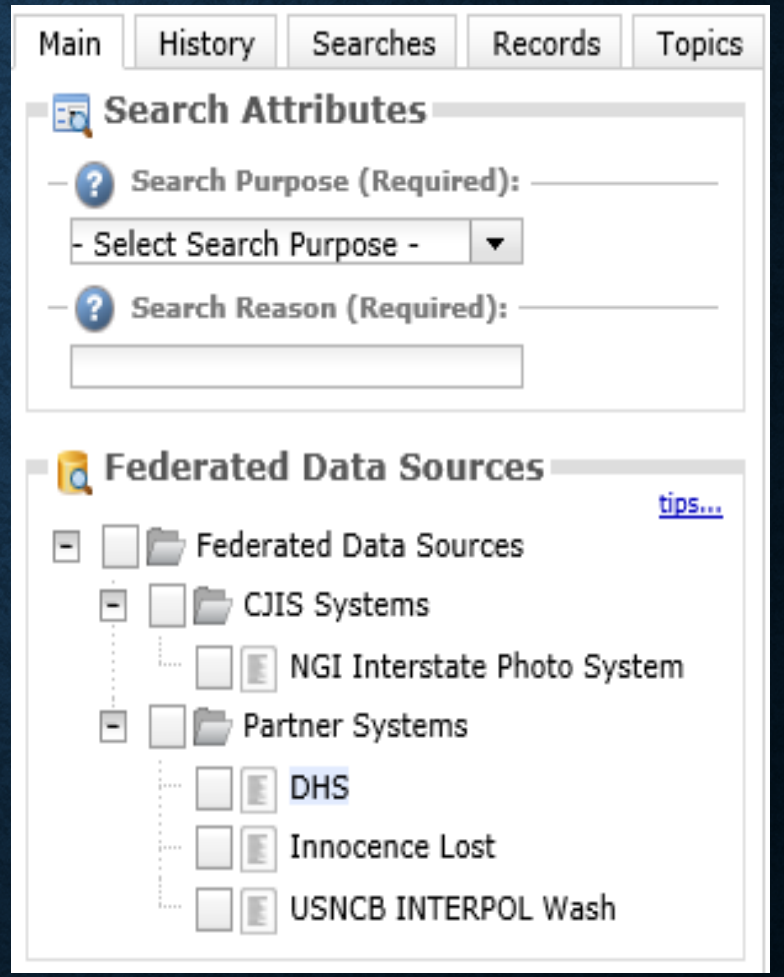
N-DEx does not contain criminal intelligence data, as defined by Title 28, Code of Federal Regulations (C.F.R.), Part 23

FEDERAL DATA CONTRIBUTORS

- **DEA** Closed NADDIS records
- **BOP** Inmate Management records
- **ATF** Information on Persons denied a firearm per the Brady Law Case records with subjects of interest (closed cases)
- **FBI** Case records (open and closed)
- **USMS** Case records (open and closed)

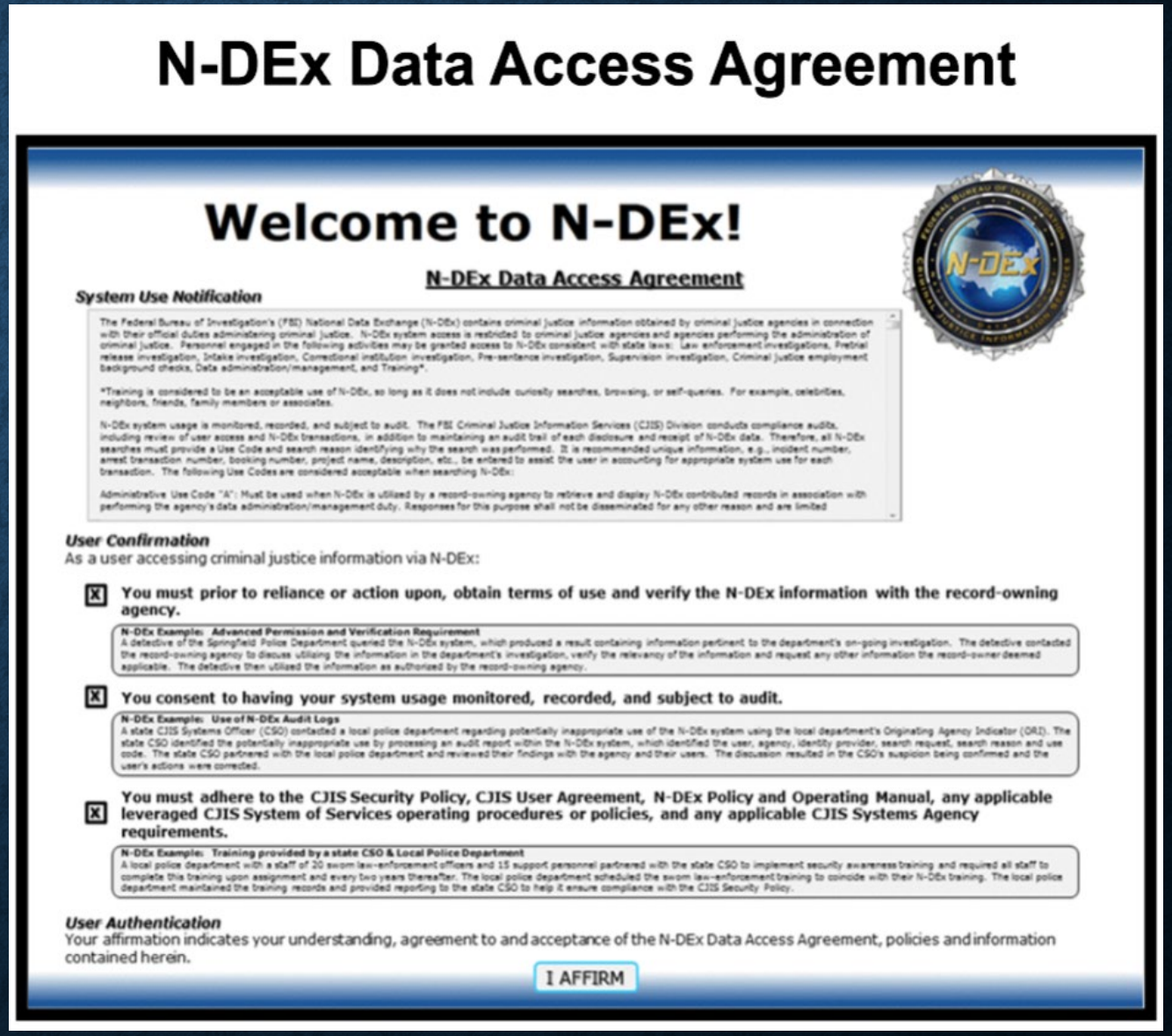
FEDERATED DATA SOURCES

- **United States National Central Bureau (USNCB) INTERPOL**
  - Wanted Subjects, Gang Members, Stolen/Lost Travel Documents (visas, passports, etc.)
- **Department of Homeland Security (DHS)**
  - The Treasury Enforcement Communication System (TECS)
  - Enforcement Case Tracking System (ENFORCE)
- **Next Generation Identification (NGI)**
  - Images – Scars, Marks, Tattoos, and Face
- **Innocence Lost**
  - Data entered on child prostitution investigations

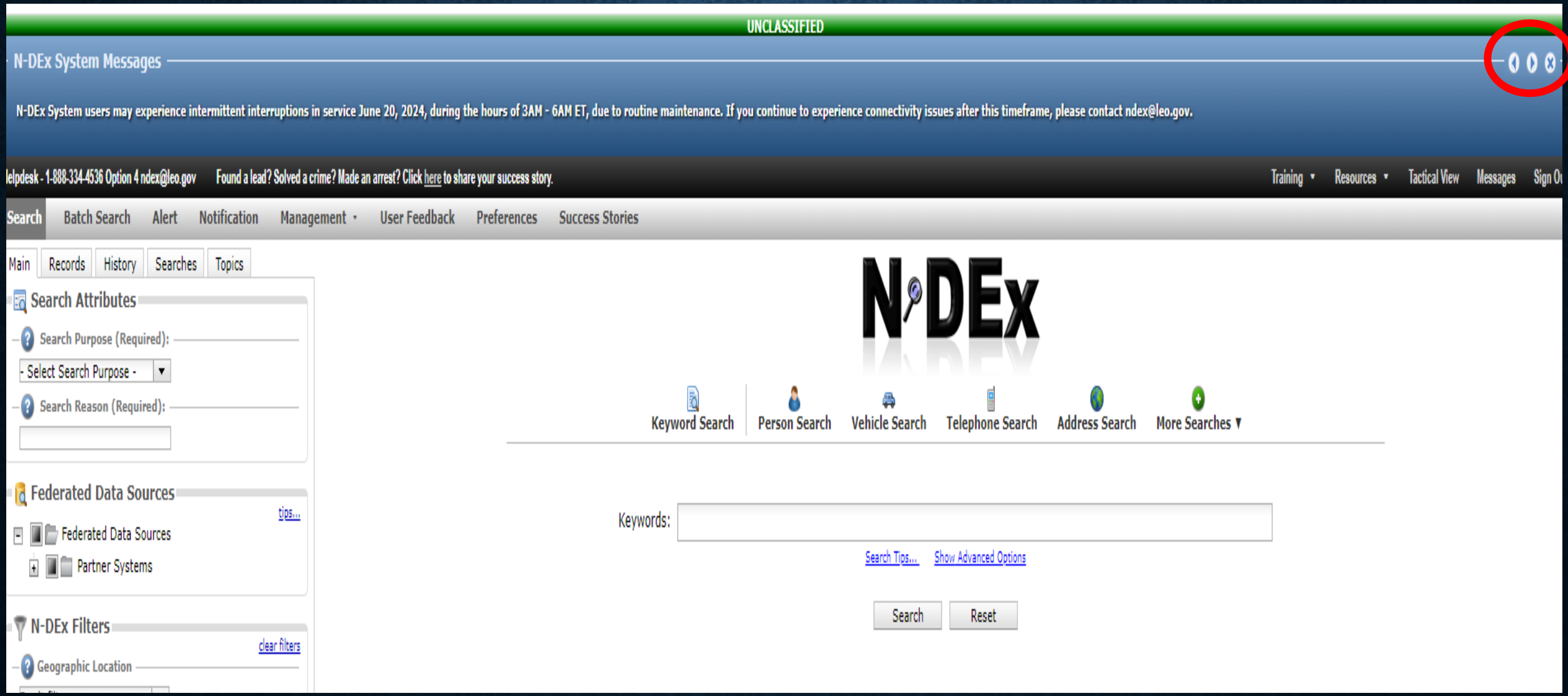


N-DEx DATA ACCESS AGREEMENT

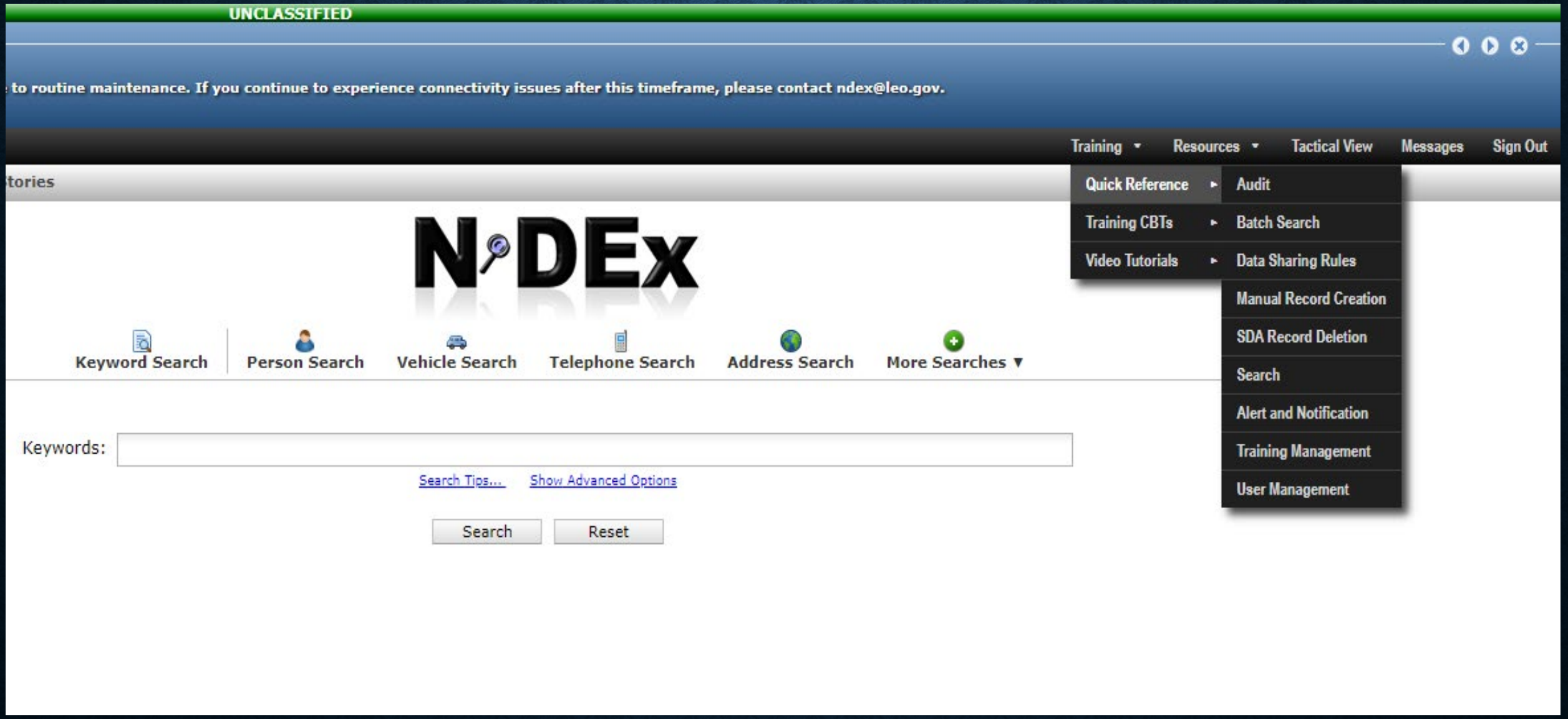
When accessing N-DEx for the first time and every year after, the N-DEx Data Access Agreement will have to be affirmed.



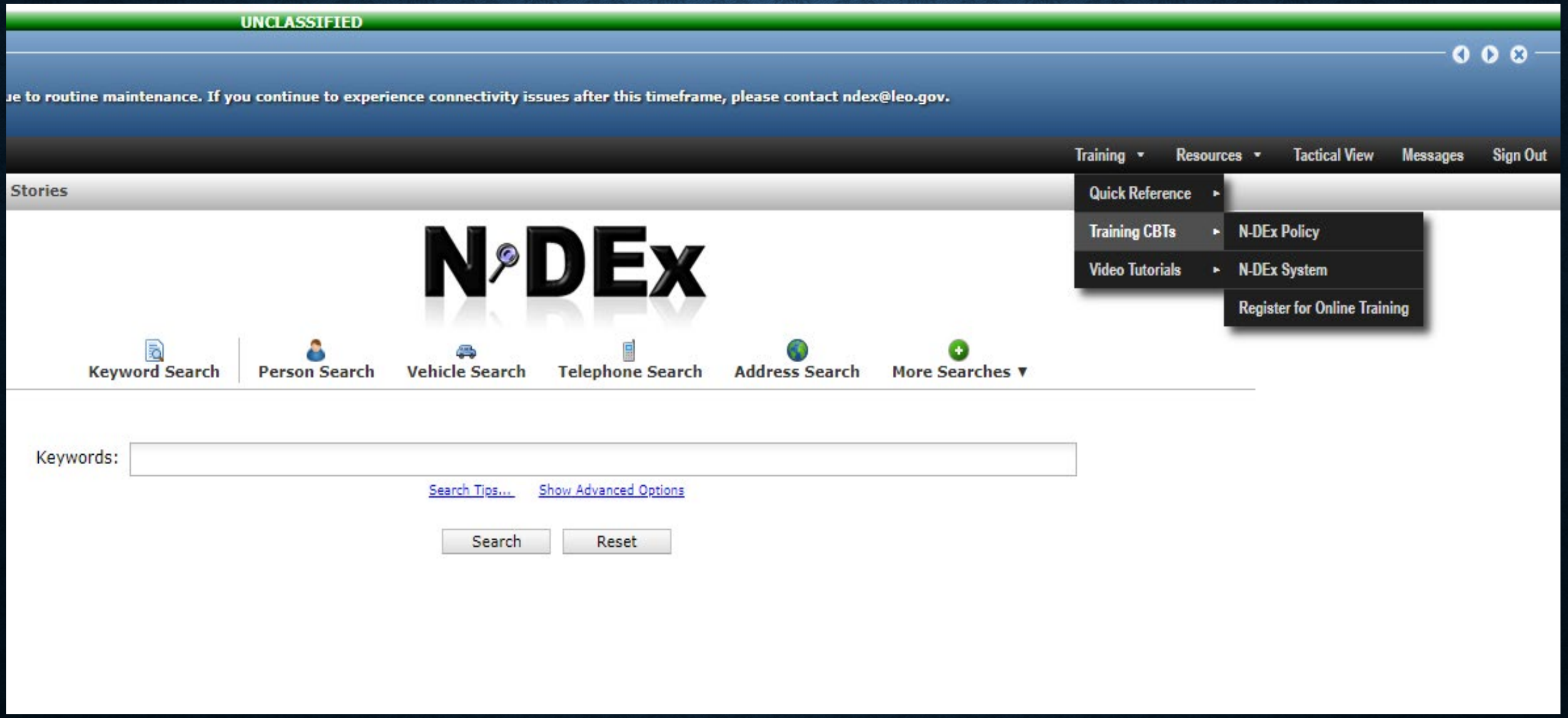
N-DEx SYSTEM MESSAGES



N-DEx TRAINING



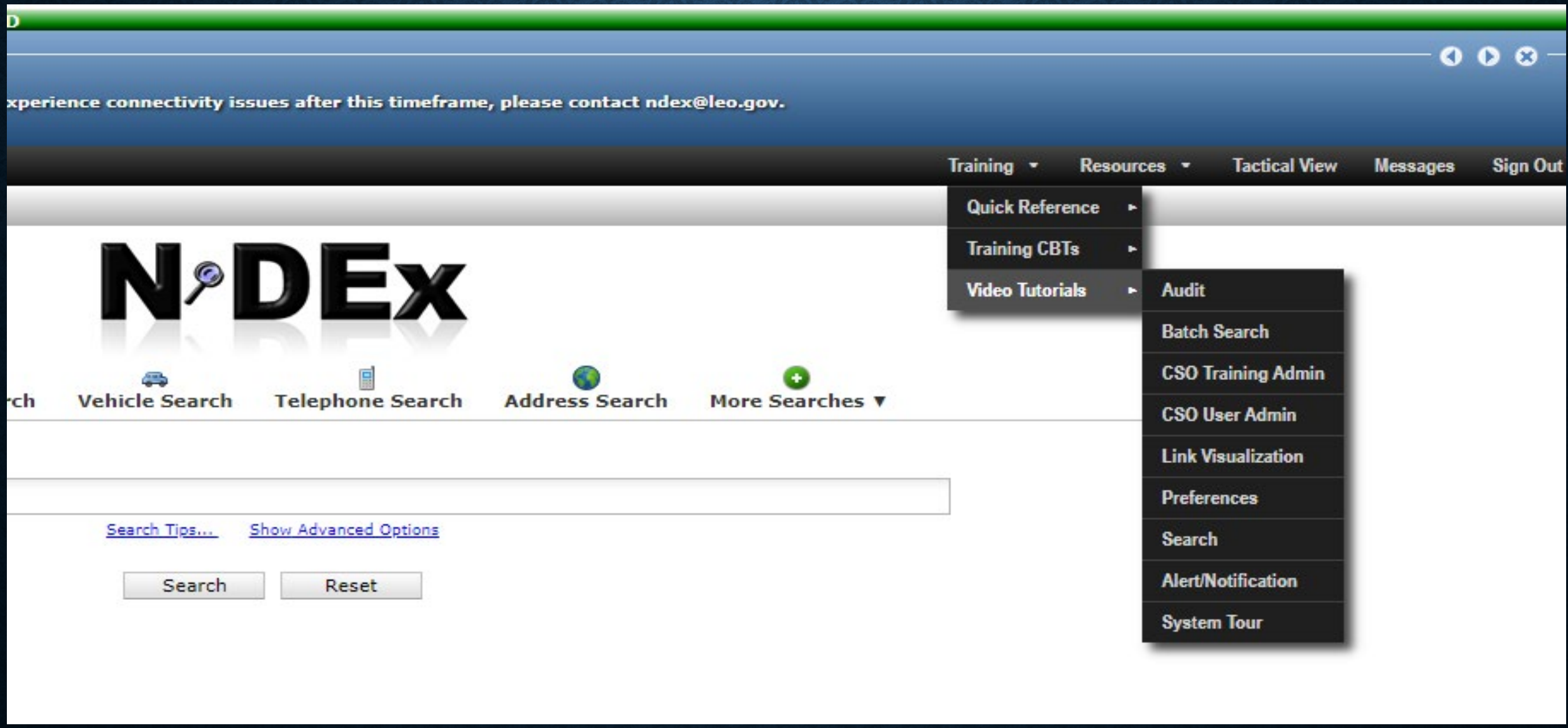
N-DEx TRAINING



FBI N-DEx MONTHLY VIRTUAL TRAINING

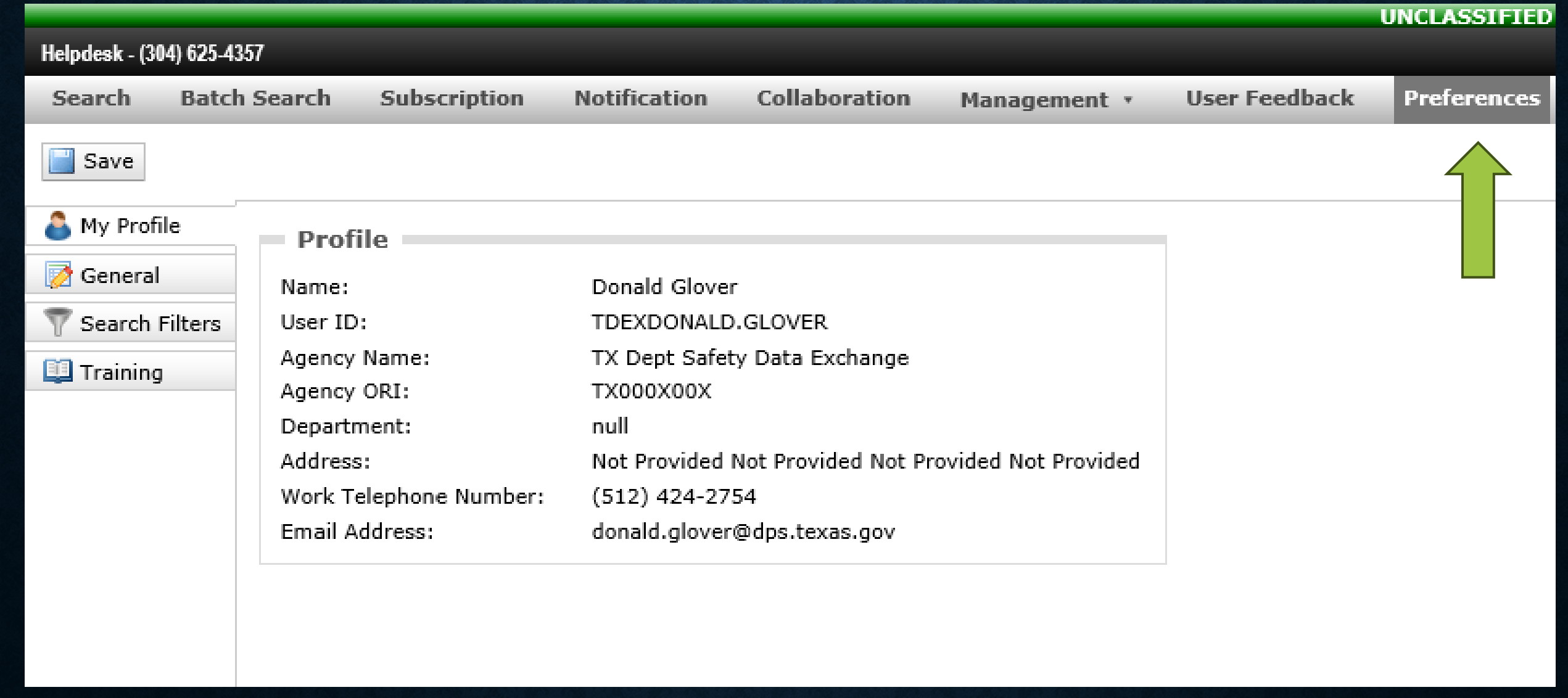
- System Overview
  - a high-level overview of the N-DEx System, what data it contains, and includes a tour of the system and its basic functionalities.
- System Search
  - an in-depth demonstration outlining usage of filters and best practices when performing both keyword and targeted searches in the N-DEx System.
- Batch Search
  - demonstrate how to create a Batch Search template, create a Batch Search, view results, and edit and share a Batch Search.
- Audit Best Practices
  - covers information related to audit best practices, with emphasis on the audit process, user management and audit report generation.

N-DEx TRAINING

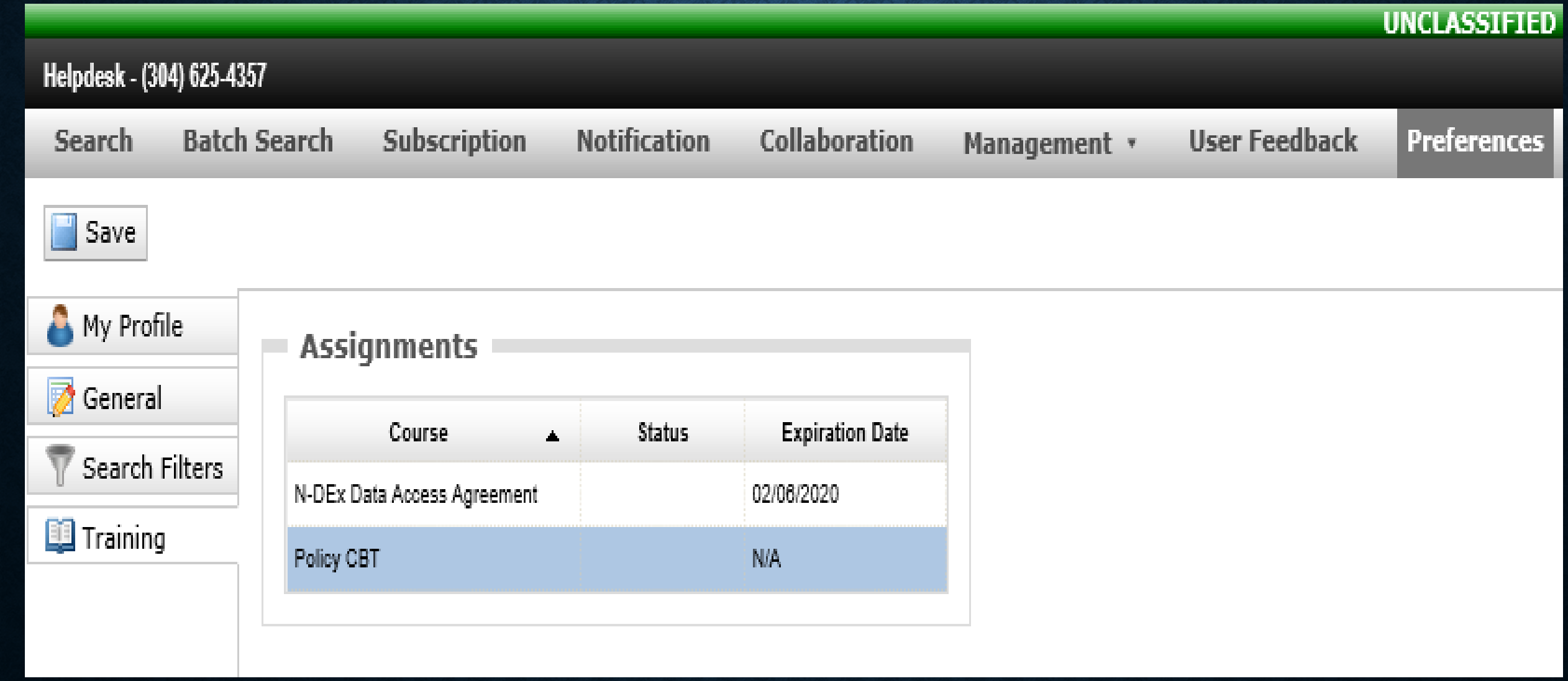


N-DEx RESOURCES

N-DEx PREFERENCES (USER PROFILE)



N-DEx PREFERENCES (TRAINING)



DPS
CONTACT INFORMATION

Email: LEEP@dps.texas.gov

Email: TDEX@dps.texas.gov

Phone #: 512-424-2091

www.dps.Texas.gov/section/crime-records/cjis-resources

TEXAS N-DEx SUCCESS STORY

- Colleyville PD investigating burglaries from lockers at a local fitness center
- Suspects stole wallets from unattended lockers then used the stolen credit and debit cards to purchase products
- Suspects wore masks – no facial recognition on security footage
- N-DEx search for locker room and thefts
- Found offense report with matching description of suspects
- Social media profiles matched tattoos and clothing
- Solved incidents in three other agencies

TEXAS N-DEx SUCCESS STORY

- Two deceased gunshot males found by Sheriff's Office
- Sheriff's Office & Tennessee Bureau of Investigations identify two suspects – Mother and Son
- Mother fled Tennessee during investigation
- Searched N-DEx for information on mother
- Residential information found in Longview, Texas
- Mother arrested & extradited back to Tennessee

TEXAS N-DEx SUCCESS STORY

- Las Vegas PD welfare check on woman
- Victim found unconscious in bathtub & minutes away from death
- Victim's family had no contact information for ex-husband since never met him
- Searched N-DEx with ex-husband's name & descriptors
- Extensive criminal history from multiple jurisdictions in Texas including address of ex-husband
- Colorado Task Force arrested
- Extradited ex-husband to back to Las Vegas

TEXAS N-DEx SUCCESS STORY

- New Mexico Corrections Department Security Threat Intelligence Unit trying to locate 2+ dozen probation absconders of serious crimes
  - Burglary, Aggravated Assault, Armed Robbery, Sexual Assault
- Intelligence Criminal Analyst used N-DEx Batch Search and Automatic Notification feature
- Absconders found in local jails in Texas, Colorado, Oklahoma, New Mexico & South Carolina
- New Mexico places holds for extradition

DATA BROKER

DATA BROKER

- Data Broker is an avenue of ingesting data and dispersing that data to either N-DEx or NIBRS system.
- Data Broker will take both N-DEx or NIBRS data via XML format.
- Utilizing the Data Broker, will alleviate you from having to create multiple files and upload into multiple locations.
- You will be sharing valuable data to support investigations all with one process.

DATA BROKER RESOURCES

If your agency is interested in utilizing the Data Broker and would like more information, visit our Texas DPS website,

www.dps.Texas.gov/section/crime-records/data-broker

OR

General Email

DataBroker@dps.texas.gov

OR

NIBRS@dps.texas.gov to request more information

FBI N-DEx questions ndex@leo.gov or www.fbi.gov/ndex

REVIEW

RECAP

- N-DEx is an online investigative information sharing system and is available 24/7 from the LEEP secure portal.
- Agencies can get access to the N-DEx System even when they aren't sharing data. It provides centralized "One stop shopping" for investigators, analysts, and Criminal Justice Professionals.
- Data Broker can take either N-DEx or NIBRS XML files.
- Agencies choose what records to share and can set up times for the files will be automatically created and sent to the Data Broker.

REVIEW

1. TRUE or FALSE - You can access N-DEx from any internet connection.

   Answer: FALSE

2. TRUE or FALSE - Users need to affirm the N-DEx Data Access Agreement every year after their first sign-on.

   Answer: TRUE

3. TRUE or FALSE – Data Broker will accept either N-DEx or NIBRS XML files.

   Answer: TRUE

FEDERAL BUREAU OF INVESTIGATION
N-DEX
NATIONAL DATA EXCHANGE
CRIMINAL JUSTICE INFORMATION SERVICES

N-DEx AUDIT

AREAS OF FOCUS

PART I

- AUDIT PURPOSE
- N-DEx ACCESS
- LEEP AA / NAC RESPONSIBILITIES
- POLICIES, MANUALS, & GUIDES

PART II

- ACCESS & AUTHENTICATION
- SECURITY CONTROLS
- INFORMATION USE / PRIVACY
- SYSTEM USAGE
- AGENCY POLICIES
- REQUIRED TRAINING

PART III

- AUDIT EMAIL
- ONLINE QUESTIONNAIRE
- VERIFICATION LOGS
- AUDIT REVIEW PROCESS
- SUMMARY

PART I

WHAT IS AN N-DEx AUDIT ?

- FBI & DPS Audit
- Compliance with Policies
- Ensuring Authorized Users
- Data / System Integrity
- Detecting Misuse

LEEP AA/ NAC RESPONSIBILITIES

- POC for N-DEx Issues
- Ensures Compliance with Agreements, Policies, State & Federal Laws
- Authorize Users, Creates & Suspends N-DEx User Accounts
- Oversees Training
- Reports Security Incidents

*DPS to be Notified in Writing When NAC Changes

POLICIES, MANUALS, AND GUIDES

CJIS Security Policy

LEEP Agreement

N-DEx Policy and Operating Manual

PART II

ACCESS & AUTHENTICATION

ALL USERS AUTHORIZED

ALL USERS VETTED

CJIS & N-DEx TRAINED

USER ACCOUNTS VALIDATIONS

UNIQUE LOGINS

USER ACCOUNTS DISABLED

SECURITY CONTROLS

PHYSICAL SECURITY

SAFEGUARD CJI

DIGITAL SECURITY

ENCRYPTION OF DATA

REMOTE ACCESS

KNOWLEDGE CHECK

TRUE OR FALSE

EVERY USER NEEDS TO BE VETTED, TRAINED, AND AUTHORIZED TO ACCESS N-DEx.

TRUE

INFORMATION USE / PRIVACY

CJ PURPOSES ONLY

UNCLASSIFIED INFORMATION

USE PERMISSION

DATA SHARING

EXCHANGE AGREEEMENTS

SYSTEM USAGE

UNAUTHORIZED SEARCHES

PURPOSE CODES

SEARCH REASONS

SEARCH QUERIES

PURPOSE CODES

C

CRIMINAL JUSTICE

INVESTIGATIONS FOR CRIMINAL JUSTICE

J

CRIMINAL JUSTICE EMPLOYMENT

BACKGROUND CHECKS

A

ADMINISTRATIVE FILE MAINTENANCE

ADMINSTRATION FILE PURPOSES

PURPOSE CODES

J

CRIMINAL JUSTICE EMPLOYMENT

REQUIRED

- NOTICE TO APPLICANT
- SIGNED CONSENT
- OPPORTUNITY TO CHALLENGE

SEARCH REASONS

UNACCEPTABLE

Purpose	Reason	Parameters
C	202209805	Name_First - XXXX Name_Middle - XXX Name_Last - XXX DOB between
C	2021-00288 Capital Murder	Name_First - XXXX Name_Middle - XXX Name_Last - XXX DOB between
A	administrative	Name_First - XXX Name_Last - XXX DOB between Fri Apr 19 1974 and
C	Investigation	Location Search - Location_City - XXX Location_County - tarrant Locati
A	Administrative	Name_First - XXX Name_Middle - XXX Name_Last - XXX DOB between
C	915085	Name_First - XXX Name_Last - XXX DOB between Sun Feb 14 1988 and
C	2020-08846 homicide	Name_First - XXX Name_Middle - XXX Name_Last - XXX DOB between
C	Lawson Intel	Identifier Search - Identifier_Type - socialsecuritynumber Identifier_V driverlicenseid Identifier_Jurisdiction - texas Identifier_Value - 173XX XXX DOB between Thu Oct 02 1980 and Thu Oct 01 1981
A	administrative	Identifier Search - Identifier_Type - socialsecuritynumber Identifier_V driverlicenseid Identifier_Jurisdiction - texas Identifier_Value - 173XX

SEARCH REASONS

ACCEPTABLE

Purpose	Reason	Parameters
C	202209805	Name_First - XXXX Name_Middle - XXX Name_Last - XXX DOB betwee
C	2021-00288 Capital Murder	Name_First - XXXX Name_Middle - XXX Name_Last - XXX DOB betwee
A	administrative	Name_First - XXX Name_Last - XXX DOB between Fri Apr 19 1974 and
C	Investigation	Location Search - Location_City - XXX Location_County - tarrant Locati
A	Administrative	Name_First - XXX Name_Middle - XXX Name_Last - XXX DOB between
C	915085	Name_First - XXX Name_Last - XXX DOB between Sun Feb 14 1988 and
C	2020-08846 homicide	Name_First - XXX Name_Middle - XXX Name_Last - XXX DOB between
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A	administrative	Identifier Search - Identifier_Type - socialsecuritynumber Identifier_V driverlicenseid Identifier_Jurisdiction - texas Identifier_Value - 173XX

KNOWLEDGE CHECK

C, J, OR A

WHAT PURPOSE CODE IS USED FOR CJ EMPLOYMENT BACKGROUND CHECK?

CODE J

POLICIES

PHYSICAL PROTECTION

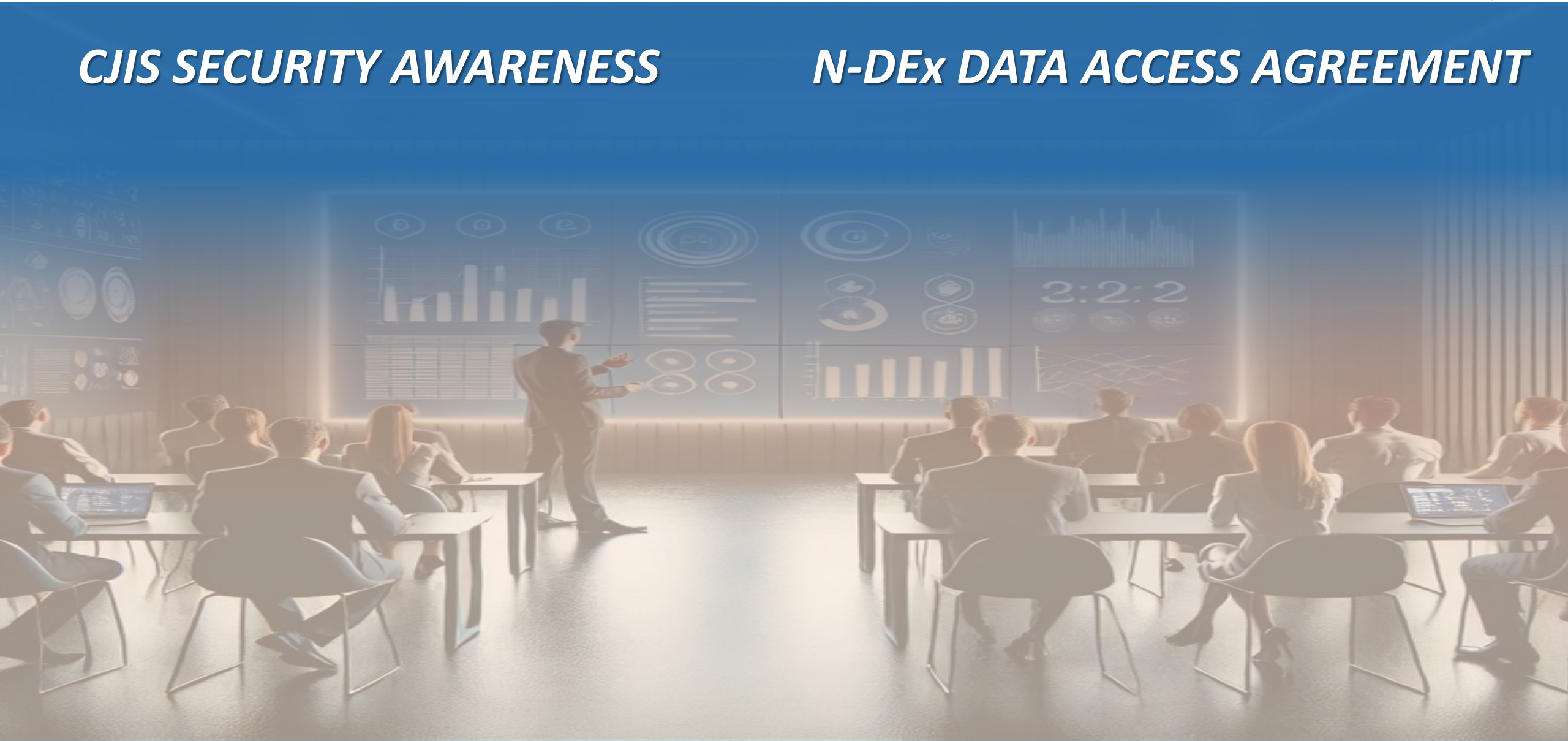
DISCIPLINARY

INCIDENT RESPONSE

TRAINING

CJIS SECURITY AWARENESS

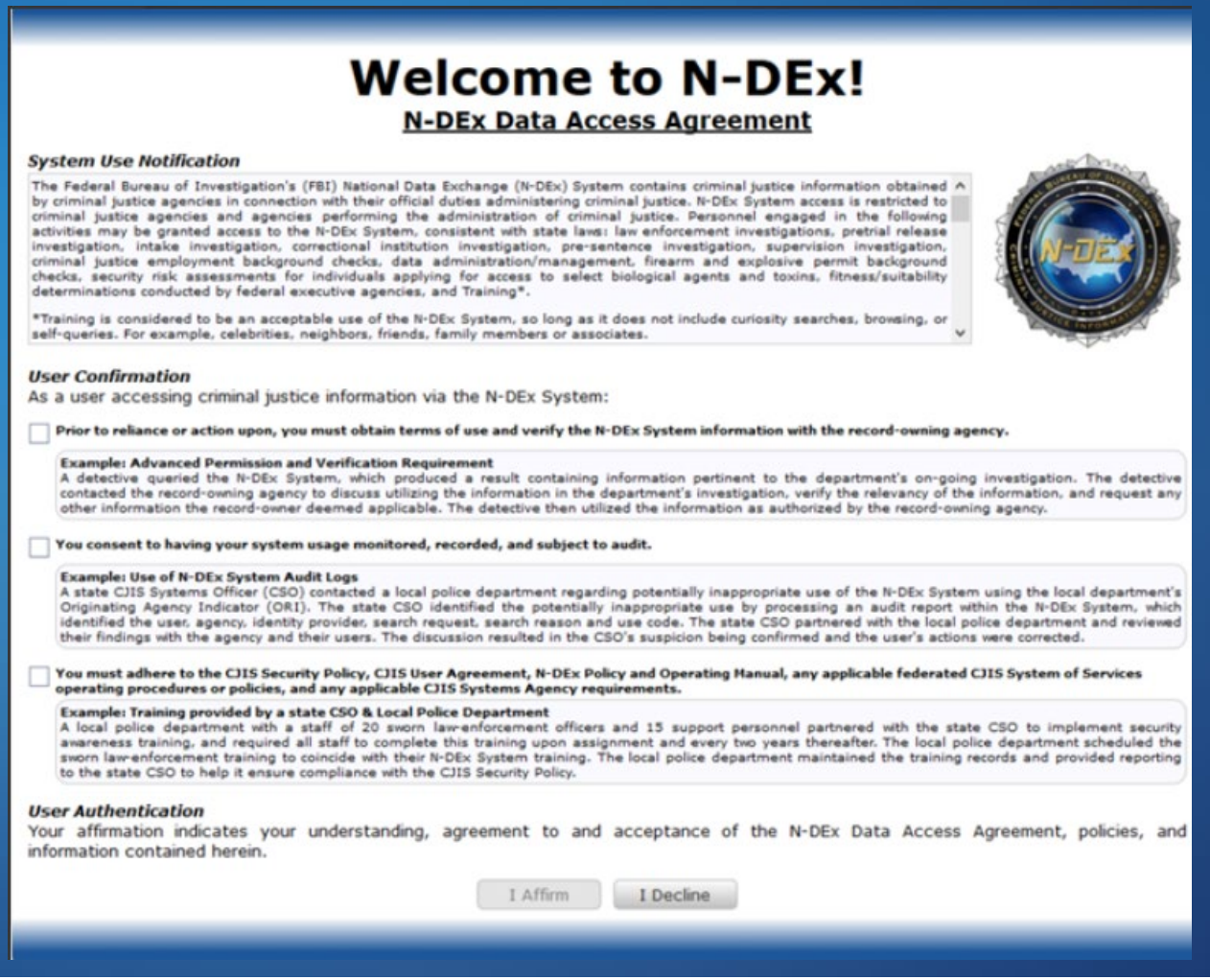
N-DEx DATA ACCESS AGREEMENT



TRAINING

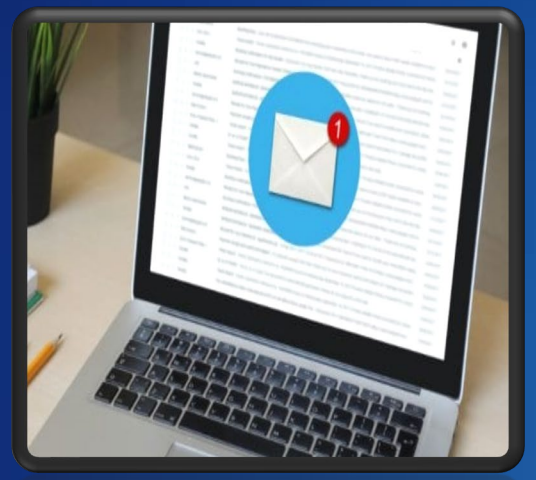
CJIS SECURITY AWARENESS

N-DEx DATA ACCESS AGREEMENT



PART III

AUDIT PROCESS



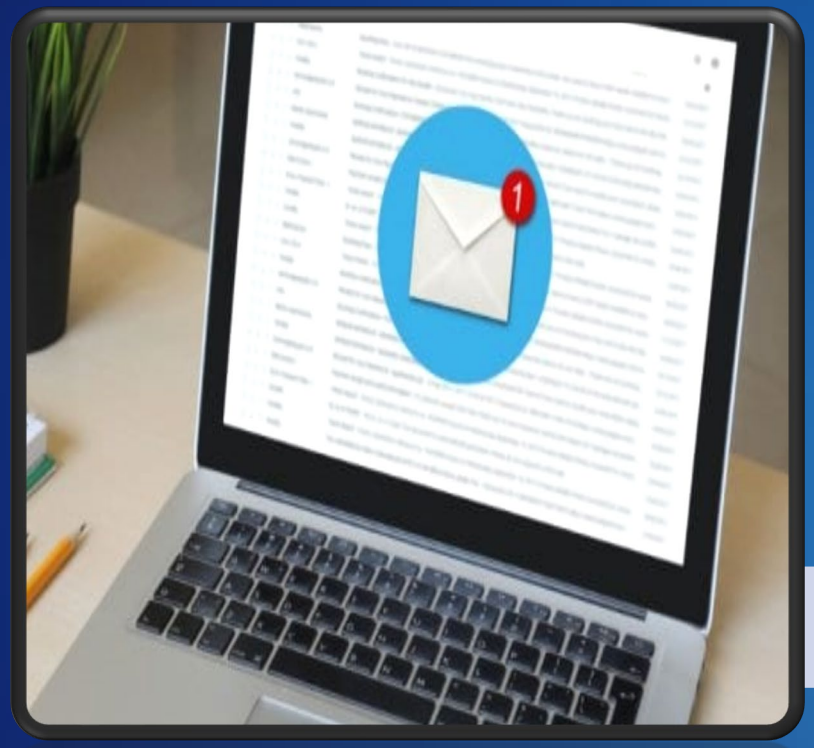
The Audit Email

Online Questionnaire

Verification Log

Audit Review Process

THE AUDIT EMAIL



- ON-SITE OR VIRTUAL
- 7 DAY DEADLINE
- USER LIST
- QUERY REPORT

READ COMPLETELY

ASK QUESTIONS

ONLINE QUESTIONNAIRE

BE HONEST

ATTENTION TO DETAIL

ACCESSING THE QUESTIONNAIRE

VERIFICATION LOG

DOCUMENTATION

USER LIST

QUERY REPORT

RECORD MANAGEMENT SYSTEM

VERIFICATION LOG

USER LIST

	A	B	C	D	E
1	Agency Name:			ORI:	Total Members:
2	N-DEx Agency Coordinator:			Phone Number:	
3	Instructions: Complete last column to indicate if your agency's LEEP users are still employed and holding positions that require N-DEx access.				
4	FIRST NAME	LAST NAME	EMAIL	LAST LOGIN	CURRENT POSITION
5					
5					
7					
3					
9					

VERIFICATION LOG

QUERY REPORT

TX DPS Crime Records Service
Compliance Training Bureau
Auditor:

CONFIDENTIAL
NOT OPEN TO THE PUBLIC

Audit Date: 00/00/2025
N-DEx Search Verification

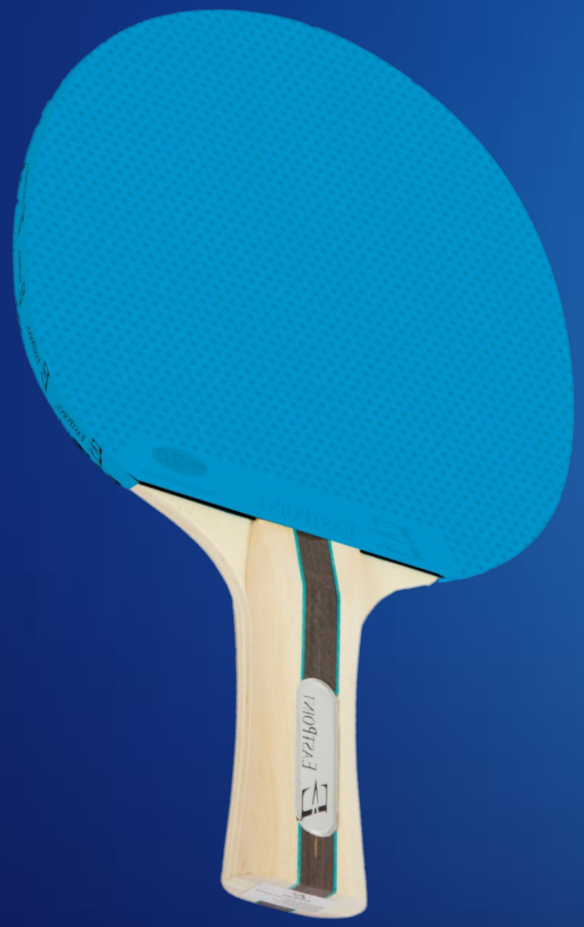
Agency Name:	**ORI:**	**Total Searches:**

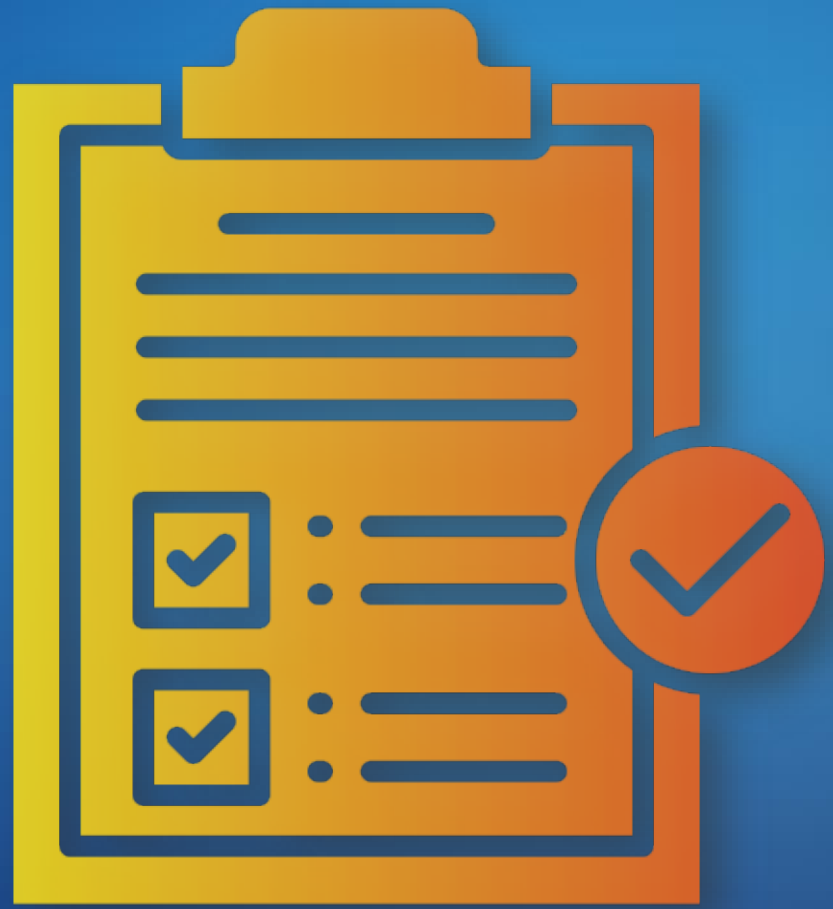
Instructions: Complete prior to your audit date & email to your auditor. Have supporting documentation ready for **onsite** audit review for subscriptions. **Virtual** audits: Screen share or send documentation to your auditor.
Examples: Tracking Forms/Logs Justification: Case file, RMS file, TCOLE roster, etc.

Date	First Name	Last Name	Purpose Code	Reason Entered	Parameters	Purpose of Search

POST AUDIT PROCESS

AUDITOR





AGENCY

POST AUDIT PROCESS

AUDITOR

1

Review Audit Responses & Documentation

Determine Compliant or Non-Compliant

3

Review Responses

Determine if further information is required

AGENCY

2

Provide Compliance Responses

Areas of Non-Compliance are Notated REQUIRED ACTION

Agency Responds with their corrective actions

4

Audit Close Out Acknowledgement

SUMMARY

AUTHORIZED ACCESS

AUTHORIZED USE

SECURITY

TRAINING

AUDITS 3 YR CYCLE

CRIMINAL JUSTICE INFORMATION SERVICES (CJIS) LINKS

CJIS SECURITY POLICY 6.0

https://www.dps.texas.gov/sites/default/files/documents/securityreview/documents/cjisSecurityPolicy_v6-0.pdf

N-DEx OPERATING MANUAL

https://le.fbi.gov/file-repository/ndex-policy-and-operating-manual-112222.pdf/view

QUESTIONS ?

DPS Contact Information

N-DEx Access and Agreements

LEEP@dps.texas.gov

N-DEx Training

IBR Training Unit (512) 424-2091
IBR.Training@dps.texas.gov

N-DEx Audit

IBR.Compliance@dps.texas.gov

NIBRS AND OTHER IBR TRAININGS

- Crimes Against Persons
- Crimes Against Property
- Crimes Against Society
- Group B Offenses
- Hate Crimes
- Cargo Theft
- Texas Specific Reports
- NIBRS Errors and Warnings
- NIBRS Overview
- Texas "T" Errors
- Molly Jane's Law & ViCAP
- Use of Force Overview
- LEEP Overview
- NIBRS Overview for ISD PDs

Shareable presentations can be found on the Compliance and Training Bureau website:
https://www.dps.texas.gov/section/crime-records/compliance-and-training-bureau

Thank You!