TEXAS FACT CLEARINGHOUSE
TECHNICAL DOCUMENTATION

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FACT Clearinghouse Overview

Common Workflows

All applicants are added to the FACT Clearinghouse by going through the FAST service on behalf of an agency. This is the process that allows the applicants fingerprints to be retained by TxDPS. If the applicant you are interested in already exists in the FACT Clearinghouse (because the applicant was printed by another Agency) your agency may simply subscribe to the applicant’s record.

New Applicant Gets Fingerprinted via FAST

1. Applicant Schedules with FAST Service
2. Applicant is Fingerprinted at FAST Service Location
3. Applicant Submission is sent to FACT Clearinghouse
4. TX AFIS assigns SID to applicant submission
5. Build Applicant Record:
   - Texas Criminal History
   - FBI Criminal History
   - TX Sex Offender Registry Indicator
6. Agency Receives Applicant Record

Agency Subscribes to an Applicant

If an applicant already exists in the FACT Clearinghouse because the applicant was printed by another agency, your agency may review and subscribe to the applicant’s record without sending the applicant through the FAST Service.*

1. Agency Searches for an Applicant
2. Agency Views the Applicant Record
3. Agency Hires Applicant
4. Agency Subscribes to Applicant’s Record

A Subscribed Applicant Has New Qualifying Event

Agencies that are subscribed to an applicant will receive notification of new qualifying events. This notification tells the Agency to review the updated Applicant Record.

1. Applicant is arrested by the Arresting Agency
2. Arrest Information is submitted to CCH
3. Qualifying Event is identified by FACT Clearinghouse
4. Notification of Qualifying Event is sent to Notification Recipient
5. Agency Reviews Applicant Record
Common Design Patterns
Storing of Criminal History Information (CHI) has certain hardware, network and policy requirement (See CJIS Security Policy for details). This is one of the biggest influences on how an Agency decides on integrating with the FACT Clearinghouse.

OPTION 1: Web-Only Access
The simplest (and most obvious) option is to only use the FACT Clearinghouse website and manually process applicants. This requires no development resources (time or abilities) and means all CHI is stored on DPS Servers.

OPTION 2: Local Storage of CHI
Agencies that wish to fully integrate the FACT Clearinghouse with their applicant processing software store the CHI on a local network/hardware. This allows the CHI to be fully integrated into the agencies application, including parsing and formatting the data.

OPTION 3: Hybrid Solution
Some agencies have decided they would automate the processing of applicants with no arrest information (aka “NO HIT” applicants) by pulling these applicants data via the FACT Clearinghouse Web Service. This is done by only requesting certain Worklists. Rejected Applicants can also be processed in this way. For Applicants that have arrest information (A HIT in either the Texas CCH, FBI or Texas Sex Offender) agents of the agency can process these applicants manually online via the FACT Clearinghouse Website.
FACT Clearinghouse Diagram

The following shows how the various entities and data sources interact for new applicants (Green), creation of subscriptions (Blue) and notifications of new Qualifying Events (Red).

Applicant

FAST Service

Agency

FACT Clearinghouse

Texas Arresting Agency

CCH

TX AFIS

FBI

Non-Texas Arresting Agency

Applicant is Arrested In Texas

Applicant is Arrested Outside Texas

Create TX Subscription

Create FBI Subscription

Notification of Qualifying Event

View Applicant Record

Close Applicant

Search

Schedule

Auto Subscribe

TX Rap Back Subscriptions

TX AFIS

TX Sex Offender Registry

Register Offender Indicator

Qualifying Event

New Registrant Indicator

FBI AFIS Response

Texas AFIS Response

Registered Offender Indicator

Qualifying Event

Arrest Information

Arrest Information

Arresting Agency

Applicant Search

View Applicant Record

Create TX Subscription

Create FBI Subscription

TX Arresting Agency

Arresting Agency

Applicant

Non-Texas Arresting Agency

Arresting Agency

TX Arresting Agency

Non-Texas Arresting Agency

Arresting Agency

TX Arresting Agency

Non-Texas Arresting Agency

Arresting Agency

TX Arresting Agency

Non-Texas Arresting Agency

Arresting Agency

TX Arresting Agency

Non-Texas Arresting Agency

Arresting Agency

TX Arresting Agency

Non-Texas Arresting Agency

Arresting Agency

TX Arresting Agency

Non-Texas Arresting Agency

Arresting Agency
Web Service Interface

Credentials and Other Prerequisites

To access the Texas FACT Clearinghouse Web Service you must have a User Name & Password for the TxDPS Crime Records Secure Website. Staging and Production environments share credentials. The process for creating an account can be found at the website.

The FACT Clearinghouse Web Service is WS-I compliant and conforms to the WS-I Basic Profile 1.1; the client application must also meet this requirement. The client application must also support encryption over transport (SSL) and message level credentials.

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**TIP:** Calling the method ValidateCredentials() gives a simple way to confirm a client application is able to successfully pass credentials to the service.

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A sample client with C# source code is available upon request and can function as the basis of an Agency’s client application.

---

**TIP:** If using the Microsoft .NET Framework, be sure to add the service as WCF “Service Reference”

---

Environments

Staging Environment

https://crimerecords.dps.texas.gov/SecureService/Staging/Clearinghouse/ApplicantService.svc?singleWsdl

- WSDL – This is the same as the latest production WSDL.
- CREDENTIALS – This uses the same credentials as the production environment.
- DATA – This data is live and matches what is in production.
- NOTE – Methods that modify data (like closing an applicant) can be called but have no effect on the data.
- NOTE – Agencies are not charged for accessing this data.

Production Environment

https://crimerecords.dps.texas.gov/SecureSite/ClearinghouseWs/1/ApplicantService.svc?singleWsdl

TLS 1.2 Requirement

NOTE: All applications require the use of TLS 1.2. This can be done:

**TLS 1.2 in Windows/.NET**

HKEY_LOCAL_MACHINE\SOFTWARE\Microsoft\.NETFramework\v4.0.30319: SchUseStrongCrypto to DWORD 1

**TLS 1.2 in Java**

add this to the Java command line: -Dhttps.protocols=TLSv1.2
Trusted Store – Java
Java clients often require the SSL Certificate for the service to be added to the local trusted store.

Start by opening Chrome and navigating to this URL:

https://crimerecords.dps.texas.gov/SecureSite/ClearinghouseWs/1/ApplicantService.svc?singleWsdl

Export the certificate (See Screenshot):
1. Click on Secure
2. Click “Valid” under certificate
3. Click “Copy to file”
4. Choose DER or BASE64 (you may need to try both)
5. Complete the Wizard.

Import the Certificate into the Java Cert Store:
keytool -importcert -file certificate.cer -keystore keystore.jks -alias "Alias"

(You may need to change some of the parameters)
Worklists
A Worklist contains applicants of a specific description that are flagged as “Open”. Once an applicant has been returned to the calling application, it is flagged as “Closed” and will not be returned the next time the work list is requested. The applicant will also not be returned in any other Worklists since that applicant is now closed.

- **New Applicant Submission** – An applicant submission was processed and caused the applicant to be added to the Worklist.

NOTE: DPS does not recommend the SSN for identification of new applicants. When your applicant is being printed your applicant can be assigned a unique value or you can assign a unique value. Both these values can be found in the WsApplicant segment.

- **Existing Applicants** – Indicates if an Applicant Record is currently in the FACT Clearinghouse. Some Worklists require the agency to be subscribed to the applicant in order for the applicant to be added to the Worklist.

NOTE: For Subscription Events (like EVENT_CCH) DPS recommends you match on the SID (aka, DPS_NBR).

- **Initial FBI Responses vs FBI Rap Back Responses** – Worklist and Event Codes that reference “FBI” are in relation to the initial FBI Response that is receive when processing a new applicant submission. Worklist and Event Codes that reference “FRB” are in relation to FBI Responses receive when processing FBI Rap Back subscriptions.
**Worklist Descriptions**

The following table lists all Worklists and describes the types of applicants found. Worklists are based on what is most likely to follow as the next step in the workflow for processing an applicant.

*EXAMPLE: in the table below an applicant that is found on the NEW_HIT_SOR Worklist may need to have their Public Sex Offender Record reviewed.*

<table>
<thead>
<tr>
<th>Work List Name</th>
<th>Description</th>
<th>Possible Agency Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>CONSOLIDATED*</td>
<td>[DEPRECATED] Applicants that have been assigned a new SID.</td>
<td>Update agency database to reflect new SID.</td>
</tr>
<tr>
<td>REJECT_FBI_MULTIPLE</td>
<td>Applicants whose fingerprints were rejected multiple times by FBI AFIS.</td>
<td>Initiate FBI Name Based background check.</td>
</tr>
<tr>
<td>REJECT_FBI</td>
<td>Applicants whose fingerprints were rejected by FBI AFIS.</td>
<td>Re-schedule applicant for FAST service.</td>
</tr>
<tr>
<td>REJECT_CCH</td>
<td>Applicants whose fingerprints were rejected by TX AFIS. NOTE: This records will have DPS_NBR=00000000</td>
<td>Re-schedule applicant for FAST service.</td>
</tr>
<tr>
<td>NEW_NOHIT</td>
<td>Applicants that do not have a Texas, FBI or Sex Offender Criminal History.</td>
<td>Hire applicant</td>
</tr>
</tbody>
</table>
| NEW_HIT_SOR            | Applicants found in the Texas Public Sex Offender Registry. | 1. Pull Applicant Record including Texas Public Sex Offender Registry Record  
|                        |                                                  | 2. Initiate employment eligibility workflow to have criminal histories reviewed.     |
|                        |                                                  | 3. Make determination for new hire.                                                  |
| NEW_HIT                | Applicants that has a Texas, FBI or Sex Offender Criminal History. | 1. Pull Applicant Record                                                                   |
|                        |                                                  | 2. Initiate employment eligibility workflow to have criminal histories reviewed.      |
|                        |                                                  | 3. Make determination for new hire.                                                  |
| NEW_OTHER              | New applicants that must be manually reviewed because their initial status could not be determined or has expired. |                                                                                       |
| EVENT_SOR*             | Applicants that have recently been added to the Public Texas Sex Offender Registry. | 1. Pull Applicant Record including Texas Public Sex Offender Registry Record  
|                        |                                                  | 2. Initiate workflow to review criminal histories and new registry record.            |
|                        |                                                  | 3. Make determination of existing applicant.                                          |
| EVENT_CCH*             | Applicants that have a new qualifying event in the Texas Criminal History. | 1. Pull Applicant Record                                                                   |
|                        |                                                  | 2. Initiate employment eligibility workflow to have criminal histories reviewed.      |
|                        |                                                  | 3. Make determination on existing applicant.                                          |
| EVENT_ALL              | Applicants that have a new qualifying event in CCH, SOR or FBI. Requires FBI Rap Back Subscription. |                                                                                       |
| NEW_SUBSCRIPTION *     | An Applicant that was automatically subscribed to by your agency. |                                                                                        |
| SUBSCRIPTION_VAL90     | An Applicant will appear on this worklist when the applicant is within 90 days being automatically unsubscribed. A subscription can be validated at any time. Requires FBI Rap Back Subscription. | Applicants on this worklist do not get closed. Either validate or unsubscribe the subscription. |
| SUBSCRIPTION_EXPIRED   | An Applicant will appear on this worklist when the applicant has been automatically unsubscribed. Requires FBI Rap Back Subscription. |                                                                                        |

*Only available with applicants for which the agency has an active Texas Subscription.*
Worklist Contents

The following table shows the statuses for each of those data sources/responses and what Worklist they will be included in. There are four data sources/responses that determine what Worklists an applicant will be included in.

**EXAMPLE:** The table below shows an applicant with a FBI Response of REJECT will show on the REJECT_FBI Worklists.

<table>
<thead>
<tr>
<th>Worklist Name</th>
<th>Description</th>
<th>Possible Agency Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>EVENT_FRB</td>
<td>Applicants that have a new triggering event in the FBI Criminal History. Requires FBI Rap Back Subscription.</td>
<td></td>
</tr>
<tr>
<td>EVENT_FBI</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW_HIT_FRB</td>
<td>Subscriptions with an FBI Response received from a Rap Back Subscription (RBSR). Received response shows an arrest.</td>
<td></td>
</tr>
<tr>
<td>NEW_HIT_FBI</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW_NOHIT_FRB</td>
<td>Subscriptions with an FBI Response received from a Rap Back Subscription (RBSR). Received response shows no arrest.</td>
<td></td>
</tr>
<tr>
<td>NEW_NOHIT_FBI</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **CCH Response**
- **FBI Response**
- **SOR Response**
- **Event Code**
- **Requirement**

<table>
<thead>
<tr>
<th>Worklist Name</th>
<th>CCH Response</th>
<th>FBI Response</th>
<th>SOR Response</th>
<th>Event Code</th>
<th>Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>CONSOLIDATED</td>
<td></td>
<td></td>
<td></td>
<td>CONSOLIDATED</td>
<td></td>
</tr>
<tr>
<td>REJECT_FBI_MULTIPLE</td>
<td></td>
<td>REJECT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>REJECT_FBI</td>
<td></td>
<td>REJECT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>REJECT_CCH</td>
<td></td>
<td>REJECT</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW_NOHIT</td>
<td>NOHIT</td>
<td>NOHIT</td>
<td>NOHIT</td>
<td>INITIAL_NEW_NOHIT, INITIAL_RENEW_NOHIT</td>
<td>Must match all responses or have event code</td>
</tr>
<tr>
<td>NEW_NOHIT_FRB</td>
<td></td>
<td></td>
<td></td>
<td>FRB_NEW_NOHIT</td>
<td></td>
</tr>
<tr>
<td>NEW_HIT_FRB</td>
<td></td>
<td></td>
<td></td>
<td>FRB_NEW_HIT</td>
<td></td>
</tr>
<tr>
<td>NEW_HIT_SOR</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW_HIT_FBI</td>
<td></td>
<td></td>
<td></td>
<td>FBI_NEW_HIT</td>
<td></td>
</tr>
<tr>
<td>NEW_HIT</td>
<td>HIT</td>
<td>HIT / (Unknown)</td>
<td>HIT</td>
<td>INITIAL_NEW_HIT, INITIAL_RENEW_HIT</td>
<td>Must match any</td>
</tr>
<tr>
<td>NEW_OTHER</td>
<td>NOHIT</td>
<td></td>
<td>NOHIT</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EVENT_SOR</td>
<td></td>
<td></td>
<td></td>
<td>SOR_EVENT</td>
<td></td>
</tr>
<tr>
<td>EVENT_CCH</td>
<td></td>
<td></td>
<td></td>
<td>CCH_EVENT, CCH_NEW_TRN, CCH_NEW_TRS</td>
<td></td>
</tr>
<tr>
<td>EVENT_FRB</td>
<td></td>
<td></td>
<td></td>
<td>FRB_NEW_RBN</td>
<td></td>
</tr>
<tr>
<td>EVENT_FBI</td>
<td></td>
<td></td>
<td></td>
<td>FBI_NEW_RBN</td>
<td></td>
</tr>
<tr>
<td>EVENT_ALL</td>
<td></td>
<td></td>
<td></td>
<td>CCH_NEW_TRN, CCH_NEW_TRS, SOR_NEW, FRB_NEW_RBN, FBI_NEW_RBN</td>
<td>Any open Subscription</td>
</tr>
<tr>
<td>NEW_SUBSCRIPTION*</td>
<td></td>
<td></td>
<td></td>
<td>SUBSCRIPTION_NEW, SUBSCRIPTION_RENEW</td>
<td>All active Subscriptions with a validation date within 90 days of being unsubscribed.</td>
</tr>
<tr>
<td>SUBSCRIPTION_VAL90</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SUBSCRIPTION_EXPIRED</td>
<td></td>
<td></td>
<td></td>
<td>EXPIRED</td>
<td></td>
</tr>
</tbody>
</table>

11
Applicants on Multiple Worklists

Applicants matching more than one Worklist that appear on all matching Worklists. The following table shows 4 Applicants and their status for each data source along with the Agency’s subscription status.

Example

Applicant JOHNSON has a Texas Criminal History (CCH Response = HIT) and an FBI Reject (FBI Response = REJECTED). Therefore, applicant JONSON appears on the Worklists REJECT_FBI and NEW_HIT. Closing applicant JOHNSON will remove the applicant from all Worklists.

<table>
<thead>
<tr>
<th>ALBERTSON</th>
<th>JOHNSON</th>
<th>SMITH</th>
<th>GARCIA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Response Statuses</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CCH Response</td>
<td>HIT</td>
<td>HIT</td>
<td>NO HIT</td>
</tr>
<tr>
<td>FBI Response</td>
<td>REJECTED</td>
<td>REJECTED</td>
<td>NO HIT</td>
</tr>
<tr>
<td>SOR Response</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Agency is Subscribed</td>
<td></td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Worklists</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>REJECT_FBI</td>
<td>Included</td>
<td>Included</td>
<td></td>
</tr>
<tr>
<td>REJECT_FBI_MULTIPLE</td>
<td>Included*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW_HIT</td>
<td>Included</td>
<td>Included</td>
<td></td>
</tr>
<tr>
<td>NEW_NOHIT</td>
<td>Included</td>
<td></td>
<td></td>
</tr>
<tr>
<td>EVENT_CCH</td>
<td></td>
<td>Included</td>
<td></td>
</tr>
</tbody>
</table>

* Assumes another recent FBI Reject exists for this SID.

Therefore the order in which you process your worklist matters. Notice the difference between the two executions:

**Execution 1:**

1. Request NEW_HIT
2. See JOHNSON’s record is a HIT and **PROCESS AS A HIT.**
3. Close JOHNSON’s record
4. Request REJECT_FBI ⬅ JOHNSON is no longer part of this worklist because the applicant is now closed.

**Execution 2:**

1. Request REJECT_FBI
2. See JOHNSON’s record is a REJECT and **PROCESS AS A REJECT.**
3. Close JOHNSON’s record
4. Request NEW_HIT ⬅ JOHNSON is no longer part of this worklist because the applicant is now closed.
Web Service Examples
An example using SoapUI can be found in Appendix-A.

Example Agency/Service Interaction of New Applicants
The following show one possible workflow an Agency may have for processing new applicants. Start at the green dot; important steps are identified by the yellow icons that match the following steps:

1. The agency starts by tracking an applicant in the Agency’s application.
2. Rejected applicants would have to be scheduled for another fingerprint event.
3. New Applicants without a hit would have their SID recorded in the Agency’s Application.
4. New Applicants with a HIT would have to have their rap sheets manually reviewed by Agency Personnel.
5. Agencies wishing to be notified of future qualifying events should subscribe to the applicant.
Example Agency/Service Interaction of New Qualifying Events

The following show one possible workflow an Agency may have for processing new qualifying events for subscribed applicants. Start at the green dot; important steps are identified by the yellow icons that match the following steps:

1. A new qualifying is received. An example would be a new arrest.
2. Review the updated Applicant Record to see new arrest data.
3. If an applicant is no longer employed by the Agency, the applicant must be unsubscribed.
Example C# Code
The following code is from SampleCode.cs which can be found in the Clearinghouse 2 Service Client source code project. The code is for illustration purposes only and shouldn’t be run.

IMPORTANT: When the test web service is in "TESTMODE=TRUE" calling CloseApplicant() does not actually close the applicant’s record. This will cause GetWorkDetail() to continuously return the same applicants, effectively forming an infinite loop.
Example SOAP Message

```xml
<Envelope xmlns:a="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:wss="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssec-url-1.0.xsd"
  xmlns:u="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssec-url-1.0.xsd">
  <Header/>
  <Body>
    <ValidateCredentials xmlns="http://crimerecords.dps.texas.gov/2015/09/Clearinghouse"></ValidateCredentials>
  </Body>
</Envelope>
```
Subscription Validations (TBD)

All Texas Clearinghouse subscriptions must be validated by the agency every 12-months. This ensures an agency is only subscribed to applicants the agency is authorized to maintain an active subscription.
FACT Clearinghouse Data Concepts

Changes to an Applicant Over Time

The following shows how an applicant’s record can change over time. The CCH Response works in a similar way, except that the CchResponseRecord is always up to date with the differences illustrated in the 4th step.

1. On 1/2/2014, applicant is fingerprinted and is rejected by the FBI.
   a. 1st WsApplicantRecord is created with FRT=REJECT
   b. No FBI Response is available

2. On 2/3/2015, applicant is re-fingerprinted and no criminal history was found at the FBI
   a. Notice: 1st WsApplicantRecord still shows FRT=REJECT
   b. 2nd WsApplicantRecord is created with FRT=NOHIT
   c. A FBI Response is available, reflects 2/3/2015 date

3. On 4/5/2015, applicant is fingerprinted for another agency (not shown).
   a. Notice: 1st WsApplicantRecord still shows FRT=REJECT
   b. Notice: 2nd WsApplicantRecord still shows FRT=NOHIT
   c. No new WsApplicantRecord is available
   d. A FBI Response is available, reflects 3/4/2015 date

4. On 5/6/2015, agency views the applicant again.
   b. CCH Response shows CRT=HIT and reflects the date the record was accessed. Even if there was no change to the CCH Response, this CCH Response would still reflect the date the record was accessed.
Closing an Applicant

An applicant can be open because of:

1. One or more new Applicant Submission records (Each defined by an ApplicantId)
2. Changes to an Applicant Subscription (Defined by the SID, aka DPS_NBR).

When you close an applicant using either of the close applicant methods, both sets of records will be closed. In Example 1 there are two open applicant submission records and an open subscription. All these records are closed when closing any of the following values:

- SID=07777777
- ApplicantId=1000
- ApplicantId=1001

All data is associated with by SID. In example 2 the Applicant Submission is Texas Reject so there is no SID (DPS_NBR=00000000) and therefore no associated Applicant Subscription. This means you must close the applicant using:

- ApplicantId=1003

If there is a change to an Applicant Subscription, there may or may not be an associated Applicant Submission. In example 3 there is no associated Applicant Submission and therefore no ApplicantId. Some reasons there may not be an Applicant Submission:

1. The Subscription was manually created (instead of being automatically created from an Applicant Submission)
2. The only Applicant Submissions associated with the SID is no longer available.

In this example you must close the applicant using:

- SID=07777777
Texas Criminal History Concepts

Consolidations

A consolidation of records within the Computerized Criminal History Database (CCH) is when the data from one SID is moved to another SID. Identifying information (e.g. Names & Birthdates) and arrest information are moved from the KILL SID onto the KEEP SID. A stub record that represents the KILL SID continues to exist with a reference to the KEEP SID.

Searching for Consolidated SIDs

When searching for a record by KILL SID, the stuff record is used to identify the KEEP SID and the KEEP SID is used for returning the results.

Impact to Subscriptions

If an agency is subscribed to a SID that is consolidated, the subscription to that KILL SID is disabled and a new subscription with the KEEP SID is created. If a qualifying event occurs, it is added to the KEEP SID subscription and the agency is notified of an event for the new SID.

If an agency receives a subscription event and the SID is unknown, the notification may be for a subscription that was created because of a consolidation. The agency can identify an Applicant’s original (KILL) SID in the following ways:

1. Instance of WsIdentifiers (Source=CCH, Key=CONSOLIDATED_SID) in WsClearinghouseRecord
2. In Texas Criminal History Response (if using the XML format) by the existence of the element INDV/ConsolidatedFrom/RelatedRecord

Previously Agencies were required to update SID’s in their system, which was driven via the CONSOLIDATED worklist. Since all SIDs are now available in the notification event, this is no longer a requirement.
Agencies that use the MNU/OCA for matching Clearinghouse data to their systems do no need to do anything specific with consolidated records since the MNU is part of the identifying information that is moved from the KILL SID to the KEEP SID.
FBI Rap Back (FRB) Subscriptions

An FRB Subscription will allow your agency to receive notification of qualifying events that occur outside of Texas. The primary benefits of having a Rap back subscription are:

1. Ensure the FBI Response portion of the Record Detail is always up to date.
2. Receive notification of changes to the FBI Response portion of the Record Detail.

From a technical point of view, an agency does not require any changes to use Rap back. Worklists exist for processing FBI Rap Back Subscription events and the RBTED data element further identifies why a new FBI response was added.

Notice – Once enabled for Rap Back, your agency will start receiving additional FRB Worklists. Please ensure your application can support these.

Eligibility

To enable FRB Subscriptions, the agency and individual ORI’s associated with the agency must be configured by DPS.

1. Agency requirements:
   a. Be configured in Clearinghouse for FRB
   b. Have one or more ORI’s configured for FRB
   c. Have correct waiver/legal language on file
2. Applicant requirements:
   a. Have prints retained by the FBI. Applicants that have a valid FBI Response obtained for applicant purposes since 2015-06-15 identify these applicants. Said response must have a EVI value and must be tracked within the FACT Clearinghouse.
   b. Have an Active FACT Clearinghouse Subscription

RBTED Data Elements

The RBTED data element (found on the FBI Response) identifies why an FBI response was added. This only applies to FBI Responses that were received from the FRB process. Example value:

NCIC WANTED / PERSON ENTRY FROM ORI - NY0303000: NIC/12345, DATE OF WARRANT 20140511{GS}

Section “RBTED 2.2069 Rap Back Triggering Event Details” of the Master EBTS documentation further define this section. Configuration by DPS specifies the specific events that generated are and may change from agency to agency.

The value of the RBTE

Consolidations

When a SID is consolidated, a new FBI Rap Back Subscription is established and the old one is canceled. A new ERS is returned and may cause the applicant to appear on a worklist.

Rejects

[TBD]

Validations

The FBI requires that agencies regularly validate their FBI Rap Back Subscriptions. [TBD]
Data Formats

<WsClearinghouseRecord> Example

<WsClearinghouseRecord xmlns:xsd="..." xmlns:xsi="...">
  <ApplicantSubmissions>
    <WsApplicantRecord>
      <ApplicantId />
      <CRS_COD>DONE</CRS_COD>
      <CRT_COD>HIT</CRT_COD>
      <DOB_DTE />
      <DPS_NBR />
      <FBI_NBR />
      <FBI_TXT />
      <FRS_COD>DONE</FRS_COD>
      <FRT_COD>HIT</FRT_COD>
      <ICN_TXT />
      <NAM_TXT />
      <OCA_TXT />
      <OLN_NBR />
      <ORI_TXT />
      <SCO_TXT />
      <SEX_COD>M</SEX_COD>
      <SOC_TXT />
      <TCN_TXT />
      <TRA_DTE />
    </WsApplicantRecord>
    <CchResponseRecord>
      <CRT_COD>NOHIT</CRT_COD>
      <RSP_DTE />
      <ResponseText/>
    </CchResponseRecord>
    <DPS_NBR />
    <FbiResponseRecord>
      <FRT_COD>Hit</FRT_COD>
      <ORI_TXT />
      <RSP_DTE />
      <RTBTD />
      <ResponseText/>
    </FbiResponseRecord>
    <Identifiers>
      <WsIdentifier>
        <Key>CONSOLIDATED_SID</Key>
        <Source>CCH</Source>
        <Value>00703906</Value>
      </WsIdentifier>
    </Identifiers>
    <SorResponseRecord>
      <RSP_DTE>2015-07-08T10:36:20.9510296-05:00</RSP_DTE>
    </SorResponseRecord>
    <SubscriptionRecord>
      <DOB_DTE>0001-01-01T00:00:00</DOB_DTE>
      <DPS_NBR />
      <EVT_DTE>0001-01-01T00:00:00</EVT_DTE>
      <HasSubscription>false</HasSubscription>
      <IsOpen>false</IsOpen>
      <NAM_TXT />
      <SEX_COD />
    </SubscriptionRecord>
  </ApplicantSubmissions>
</WsClearinghouseRecord>
When calling the GetRecord() method there are two options for the format in which the Texas Criminal History response is returned (WsCchResponseRecord). Both options return the same information, but in different formats:

- **XML** – This XML is based on the data structure of the Texas Criminal History Database.
- **NIEM** – This is a NIEM compliant XML data structure.

### Use of `<xsd:any>`

An XSD document is an XML document that describes/validates another XML document. A WSDL document is specific type of XSD that is used for describing/validating a web service. The `<any>` element of an XSD document allows the document being described/validated to include XML that is not described/validated.

The element `<ResponseText>` (within `WsCchResponseRecord`) is defined as `<any>` for the following reasons:

- The response can be of different XML formats.
- The WSDL is simpler.
- Additional formats can be added supported without publishing a modified WSDL.

---

Please note that this section also applies to the WsFbiResponseRecord type.
Sample format=XML

```xml
<cohResponseRecord>
  <ExtensionData/>
  <CRT_COD><CRT_COD HIT="CRT_COD"></CRT_COD>
  <RSP_DTE>2015-03-24 00:00</RSP_DTE>
  <ResponseText>
      xmlns:xsd="http://www.w3.org/2001/XMLSchema"
      <IND_IND value="7479004"/>
      <IND_POB value="0777777777"/>
      <IND_TYP value="K"/>
      <IND_JNKT value="T"/>
      <IND_RSN value=""/>
      <IND_COD value=""/>
      <IND_TXT value="NOT IDENTIFIED, RECORD=SSN:000-02-0000 LL"/>
      <IND_DTE value="3/24/2015"/>
    </INDV>
  </ResponseText>
</cohResponseRecord>
```

Sample format=NIEM

```xml
<cohResponseRecord>
  <ExtensionData/>
  <CRT_COD HIT="CRT_COD">
    <RSP_DTE>2015-03-24 00:00</RSP_DTE>
  </ResponseText>
  <ResponseText>
    <RspSheet xmlns:xrpf="http://niets.org/niets/rspSheet/1.0" xml:
      <xrpf:RspSheet Person ssn="000-02-0000">
        <xrpf:Person AlternateName/>
        <xrpf:Person GivenName/>
        <xrpf:Person SurName/>
        <xrpf:Person FullName/>
        <xrpf:Person AlternateName/>
        <xrpf:Person GivenName/>
        <xrpf:Person SurName/>
        <xrpf:Person FullName/>
        <xrpf:Person AlternateName/>
        <xrpf:Person GivenName/>
        <xrpf:Person SurName/>
        <xrpf:Person FullName/>
        <xrpf:Person AlternateName/>
        <xrpf:Person GivenName/>
        <xrpf:Person SurName/>
        <xrpf:Person FullName/>
        <xrpf:Person AlternateName/>
        <xrpf:Person GivenName/>
        <xrpf:Person SurName/>
        <xrpf:Person FullName/>
        <xrpf:Person AlternateName/>
        <xrpf:Person GivenName/>
        <xrpf:Person SurName/>
        <xrpf:Person FullName/>
      </xrpf:RspSheet>
    </xrpf:RspSheet>
  </ResponseText>
</cohResponseRecord>
```
### Service Methods

<table>
<thead>
<tr>
<th>Operation Name</th>
<th>Description</th>
<th>Return Value</th>
<th>Parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td>GetWorkSummary()</td>
<td>Returns a summary of all open applicants for the Agency.</td>
<td>WsWorklistSummaryItem[]</td>
<td>Type Name Description</td>
</tr>
<tr>
<td>GetWorkDetail()</td>
<td>Returns the first 500 applicants in the specified worklist. To get additional applicants from a worklist, first close some of the returned applicants.</td>
<td>WsWorklistContainer[]</td>
<td>String Originating Agency Identifier String Worklist Type Bool closeOnReceive Close applicants once returned. Not yet supported.</td>
</tr>
<tr>
<td>CloseApplicant()</td>
<td>If an applicant submission is rejected by Texas AFIS this method will not work because you will not have a SID. You will have to close using the ApplicantId.</td>
<td></td>
<td>String sid Cannot be 00000000</td>
</tr>
<tr>
<td>CloseApplicantByApplicantId()</td>
<td>Used for closing Applicant Submissions rejected by Texas AFIS.</td>
<td></td>
<td>Int applicantId</td>
</tr>
<tr>
<td>Subscribe()</td>
<td></td>
<td></td>
<td>String sid</td>
</tr>
<tr>
<td>SubscribeWithKey()</td>
<td></td>
<td></td>
<td>String sid String ori Originating Agency Identifier String key Allow custom identification of the applicant.</td>
</tr>
<tr>
<td>Unsubscribe()</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Return Value</td>
<td>Parameters</td>
<td>Type</td>
<td>Name</td>
</tr>
<tr>
<td>------------------</td>
<td>------------</td>
<td>--------</td>
<td>------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>String</td>
<td>sid</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Operation Name</th>
<th>GetRecord()</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Return Value</td>
<td>WsClearinghouseRecord</td>
<td></td>
</tr>
<tr>
<td>Parameters</td>
<td>Type</td>
<td>Name</td>
</tr>
<tr>
<td></td>
<td>String</td>
<td>sid</td>
</tr>
<tr>
<td></td>
<td>String</td>
<td>format</td>
</tr>
<tr>
<td></td>
<td>Bool</td>
<td>closeOnReturn</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Operation Name</th>
<th>GetPhoto()</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Return Value</td>
<td>Byte[]</td>
<td></td>
</tr>
<tr>
<td>Parameters</td>
<td>Type</td>
<td>Name</td>
</tr>
<tr>
<td></td>
<td>Int</td>
<td>imgIdn</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Operation Name</th>
<th>ValidateCredentials()</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Return Value</td>
<td>Bool</td>
<td></td>
</tr>
<tr>
<td>Parameters</td>
<td>Type</td>
<td>Name</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Operation Name</th>
<th>ServerInfo()</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Return Value</td>
<td>String[]</td>
<td></td>
</tr>
</tbody>
</table>
## Data Objects

### WsWorklistSummaryItem

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td></td>
<td>Returns the number of applicants that are within a specific worklist.</td>
</tr>
<tr>
<td>Usage</td>
<td></td>
<td>Allow application to know which worklists contain applicants or give users an estimated workload.</td>
</tr>
</tbody>
</table>

#### Property Details

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Worklist</td>
<td>String</td>
<td></td>
</tr>
<tr>
<td>ORI.TXT</td>
<td>String</td>
<td>Originating Agency Identifier</td>
</tr>
<tr>
<td>ATR.TXT</td>
<td>String</td>
<td>Agency Name</td>
</tr>
<tr>
<td>ApplicantCount</td>
<td>Int</td>
<td></td>
</tr>
</tbody>
</table>

### WsWorklistContainer

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Usage</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Property Details

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>ORI.TXT</td>
<td>String</td>
<td>Originating Agency Identifier</td>
</tr>
<tr>
<td>ATR.TXT</td>
<td>String</td>
<td>Agency Name</td>
</tr>
<tr>
<td>CloseBatchTrace</td>
<td>String</td>
<td></td>
</tr>
<tr>
<td>Details</td>
<td>WsWorklistRecord[]</td>
<td></td>
</tr>
</tbody>
</table>

### WsWorklistRecord

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td></td>
<td>Describes an applicant that matches the worklist it was retrieved from. If ApplicantId exists, then there is a new FAST Applicant Submission to review, otherwise there is a subscription event to review. ResponseStatus values are at the time the record was processed, the older the record the more likely the ResponseStatus is no longer valid.</td>
</tr>
<tr>
<td>Usage</td>
<td></td>
<td>Use DPS_NBR or ApplicantId to get additional details on the applicant.</td>
</tr>
</tbody>
</table>

#### Property Details

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>ApplicantId</td>
<td>Int</td>
<td>Uniquely Identifies an Applicant Submission.</td>
</tr>
<tr>
<td>DPS_NBR</td>
<td>String</td>
<td>Aka “SID”. Has a value of 00000000 for Texas AFIS Rejects. Otherwise always populated.</td>
</tr>
<tr>
<td>ORI.TXT</td>
<td>String</td>
<td>Originating Agency Identifier</td>
</tr>
<tr>
<td>NAM_TXT</td>
<td>String</td>
<td>Applicant Name</td>
</tr>
<tr>
<td>EVT_DTE</td>
<td>DateTime</td>
<td>Fingerprint Event of Subscription Event date</td>
</tr>
<tr>
<td>ChcResponseStatus</td>
<td>String</td>
<td>REJECT</td>
</tr>
<tr>
<td>FbiResponseStatus</td>
<td>String</td>
<td>REJECT</td>
</tr>
<tr>
<td>SubscriptionStatus</td>
<td>String</td>
<td></td>
</tr>
<tr>
<td>SorResponseStatus</td>
<td>String</td>
<td>HIT</td>
</tr>
<tr>
<td>EVT_FLG</td>
<td>Bool</td>
<td>True: applicant record is open.</td>
</tr>
<tr>
<td>MNU_TXT</td>
<td>String</td>
<td>Unique agency identifier submitted through FAST process.</td>
</tr>
</tbody>
</table>
### WsApplicantRecord

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>ApplicantId</td>
<td>Int</td>
<td>Uniquely Identifies an Applicant Submission.</td>
</tr>
<tr>
<td>DPS_NBR</td>
<td>String</td>
<td>Aka “SID”. Has a value of 00000000 for Texas AFIS Rejects. Otherwise always populated.</td>
</tr>
<tr>
<td>TRA_DTE</td>
<td>DateTime</td>
<td>Date Submission Record was submitted.</td>
</tr>
<tr>
<td>CRS_COD</td>
<td>String</td>
<td>CCH Status (HOLD</td>
</tr>
<tr>
<td>CRT_COD</td>
<td>String</td>
<td>CCH Response (REJECT</td>
</tr>
<tr>
<td>DOB_DTE</td>
<td>Date</td>
<td>Applicant Date of Birth</td>
</tr>
<tr>
<td>FBI_NBR</td>
<td>String</td>
<td>FBI Number</td>
</tr>
<tr>
<td>FBI_TXT</td>
<td>String</td>
<td>FBI Reject Text</td>
</tr>
<tr>
<td>FRS_COD</td>
<td>String</td>
<td>FBI Response (NONE</td>
</tr>
<tr>
<td>FRT_COD</td>
<td>String</td>
<td>FBI Type (REJECT</td>
</tr>
<tr>
<td>ICN_TXT</td>
<td>String</td>
<td>ICN (aka: FBI’s TCN)</td>
</tr>
<tr>
<td>NAM_TXT</td>
<td>String</td>
<td>Applicant Name</td>
</tr>
<tr>
<td>OLN_NBR</td>
<td>String</td>
<td>OLS_COD + OLN_NBR</td>
</tr>
<tr>
<td>ORI_TXT</td>
<td>String</td>
<td>Originating Agency Identifier</td>
</tr>
<tr>
<td>SCO_TXT</td>
<td>String</td>
<td>SCO</td>
</tr>
<tr>
<td>SEX_COD</td>
<td>String</td>
<td>Sex</td>
</tr>
<tr>
<td>SOC_TXT</td>
<td>String</td>
<td>Social Security Number</td>
</tr>
<tr>
<td>TCN_TXT</td>
<td>String</td>
<td>TCN / Transaction Control Number</td>
</tr>
<tr>
<td>OCA_TXT</td>
<td>String</td>
<td>Unique agency identifier submitted through FAST process. Also known as MNU or Agency Case Number.</td>
</tr>
</tbody>
</table>
### WsClearinghouseRecord

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>DPS_NBR</td>
<td>String</td>
<td>aka “SID”</td>
</tr>
<tr>
<td>NAM_TXT</td>
<td>String</td>
<td>Name</td>
</tr>
<tr>
<td>ApplicantSubmissions</td>
<td>WsApplicantRecord[]</td>
<td>(Optional)</td>
</tr>
<tr>
<td>SubscriptionRecord</td>
<td>WsSubscriptionRecord</td>
<td>(Optional)</td>
</tr>
<tr>
<td>FbiResponseRecord</td>
<td>WsFbiResponseRecord</td>
<td>(Optional) Always a space-formatted data</td>
</tr>
<tr>
<td>CchResponseRecord</td>
<td>WsCchResponseRecord</td>
<td>(Optional) Includes Criminal and/or Applicant Record</td>
</tr>
<tr>
<td>SorResponseRecord</td>
<td>WsSorResponseRecord</td>
<td>(Optional)</td>
</tr>
<tr>
<td>Identifiers</td>
<td>WsIdentifiers</td>
<td>Format: “{Type}:{Value}”</td>
</tr>
<tr>
<td></td>
<td></td>
<td>SID:01234567</td>
</tr>
<tr>
<td></td>
<td></td>
<td>SID:01234568</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MNU:EMP1234</td>
</tr>
</tbody>
</table>

### WsCchResponseRecord

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSP_DTE</td>
<td>DateTime</td>
<td>Date of Response. This is CCH’s DLU – NOT Today’s Date.</td>
</tr>
<tr>
<td>CRT_COD</td>
<td>String</td>
<td>Response Type. HIT</td>
</tr>
<tr>
<td>ResponseText</td>
<td>XmlElement</td>
<td>Formatted xml with root node &lt;Lines&gt; and child nodes &lt;Line row=&quot;1&quot;&gt;… &lt;/Line&gt;</td>
</tr>
</tbody>
</table>

### WsFbiResponseRecord

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSP_DTE</td>
<td>DateTime</td>
<td>Date of Response</td>
</tr>
<tr>
<td>FRT_COD</td>
<td>string</td>
<td>Response Type (HIT</td>
</tr>
<tr>
<td>ResponseText</td>
<td>XmlElement</td>
<td>Formatted xml with root node &lt;Lines&gt; and child nodes &lt;Line row=&quot;1&quot;&gt;… &lt;/Line&gt;</td>
</tr>
<tr>
<td>ORI_TXT</td>
<td>String</td>
<td>Originating Agency Identifier</td>
</tr>
<tr>
<td>RBTED</td>
<td>String</td>
<td>FBI Rap Back Triggering Event Data. Populated from a FRB Subscription Event.</td>
</tr>
</tbody>
</table>
### WsSorResponseRecord

**Description**
Public Texas Sex Offender Registry Indicator.

**Usage**
If HIT, then allow the user to navigate to the URL to view the Public SOR Record.

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSP_DTE</td>
<td>DateTime</td>
<td>Date of Response</td>
</tr>
<tr>
<td>SRT_COD</td>
<td>String</td>
<td>HIT</td>
</tr>
<tr>
<td>ResponseUrl</td>
<td>String</td>
<td>URL to record on Texas Public Sex Offender Registry.</td>
</tr>
</tbody>
</table>

### WsSubscriptionRecord

**Description**
Details of Agency’s Subscription to an Applicant.

**Usage**
Use the EVT_COD to determine what has triggered the subscription to be opened. If the agency does not have a subscription, but this element exists, then default values are populated.

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>DPS_NBR</td>
<td>String</td>
<td>aka “SID”</td>
</tr>
<tr>
<td>DOB_DTE</td>
<td>DateTime</td>
<td>Date of Birth</td>
</tr>
<tr>
<td>EVT_COD</td>
<td>String</td>
<td>Event Code</td>
</tr>
<tr>
<td>EVT_DTE</td>
<td>DateTime</td>
<td>Event Date</td>
</tr>
<tr>
<td>EVT_TXT</td>
<td>String</td>
<td>Event Text</td>
</tr>
<tr>
<td>NAM_TXT</td>
<td>String</td>
<td>Name</td>
</tr>
<tr>
<td>SEX_COD</td>
<td>String</td>
<td>Sex</td>
</tr>
<tr>
<td>IsOpen</td>
<td>Bool</td>
<td>This subscription event has not been closed by the user.</td>
</tr>
<tr>
<td>HasSubscription</td>
<td>Bool</td>
<td>Flag to indicate if the current agency is subscribed to this SID.</td>
</tr>
</tbody>
</table>

### WsIdentifiers

**Description**
Collection of various values that identify this specific applicant.

**Usage**
Currently can only be used to identify additional SIDs that the applicant is known by. Future use may include FBI Subscription Identifiers, Agency MNU/OCA values.

<table>
<thead>
<tr>
<th>Property Name</th>
<th>Property Type</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Source</td>
<td>String</td>
<td></td>
</tr>
<tr>
<td>Key</td>
<td>String</td>
<td></td>
</tr>
<tr>
<td>Value</td>
<td>String</td>
<td></td>
</tr>
</tbody>
</table>

---

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## Applicant Record Messages

<table>
<thead>
<tr>
<th>Code</th>
<th>Title</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>OPEN</td>
<td>Applicant Record Is Open</td>
<td>This applicant is new or your Agency's Subscription has indicated a status change. Close the Applicant once the record has been reviewed.</td>
</tr>
<tr>
<td>SUBSCRIBED</td>
<td>Your Agency Is Subscribed</td>
<td>Your agency is subscribed to this applicant and will receive notification for certain events.</td>
</tr>
<tr>
<td>CCH_OUTDATED</td>
<td>Identifiers Not Updated</td>
<td>Although all Arrest, charge and FBI data is up to date, CCH has not been updated since the last applicant submission from your agency. This may result in your agency's identifiers missing from the applicant’s record.</td>
</tr>
<tr>
<td>Logic</td>
<td>DLU in CCH is older than the Transaction Date of the last Applicant Submission</td>
<td></td>
</tr>
<tr>
<td>FBI_REJECT</td>
<td>FBI Response Rejected</td>
<td>The last Applicant Submission for this applicant was rejected by the FBI AFIS. If an FBI Response is present it is from a previous Applicant Submission.</td>
</tr>
<tr>
<td>FBI_OUTDATED</td>
<td>FBI Response May Be Out of Date</td>
<td>An Applicant’s FBI Record is only updated when an Applicant Submission is processed. The greater the time between accessing an FBI Record and the last Applicant Submission offers a greater chance of new FBI Arrest data not being reflected in the FACT Clearinghouse.</td>
</tr>
<tr>
<td>Logic</td>
<td>Display if latest FBI Response is past threshold.</td>
<td></td>
</tr>
<tr>
<td>FBI_PENDING</td>
<td>FBI Response not Received</td>
<td>An Applicant’s FBI Record has not yet been updated for the last applicant submission. To view the updated FBI Record return to this Applicant Record at a later time.</td>
</tr>
<tr>
<td>FEE_WARN</td>
<td>Fee-Based Record Access</td>
<td>Your agency does not have a recent Applicant Submission for this applicant, therefore requesting access to the Texas Arrest &amp; FBI Arrest Records (even if not present) will incur a cost for your Agency.</td>
</tr>
<tr>
<td>Logic</td>
<td>Only displayed if Organization is PrePay or Billable</td>
<td></td>
</tr>
</tbody>
</table>
## Important Timeframes

<table>
<thead>
<tr>
<th>Description</th>
<th>Start Time</th>
<th>Range from Start</th>
</tr>
</thead>
<tbody>
<tr>
<td>View an Applicant Record without a charge</td>
<td>Last Applicant Submission</td>
<td>30-days</td>
</tr>
<tr>
<td>Access to Applicant Submission</td>
<td>Submission Event Date</td>
<td>3-Months</td>
</tr>
<tr>
<td>Applicant Submission HIT/NOHIT Indicators</td>
<td>Submission Event Date</td>
<td>1-Month</td>
</tr>
<tr>
<td>Warning of outdated FBI Response</td>
<td>Submission Date of Last valid FBI Response</td>
<td>6-Months</td>
</tr>
<tr>
<td>Disabling of Invalid Subscriptions</td>
<td>From Removal of qualifying applicant flag</td>
<td>24-Hours</td>
</tr>
<tr>
<td>Automatic Closing of Applicant Record</td>
<td>Submission Event Date</td>
<td>6-Months</td>
</tr>
<tr>
<td>Reprint after the First FBI print Rejection</td>
<td>Date of Rejection</td>
<td>One year</td>
</tr>
<tr>
<td>Request a Name-Based Search after the second FBI print Rejection</td>
<td>Date of 2&lt;sup&gt;nd&lt;/sup&gt; Rejection</td>
<td>90 days</td>
</tr>
<tr>
<td>Time-Out of a Subscription Payment Key</td>
<td>Creation of Subscription Payment Key</td>
<td>1-Month</td>
</tr>
<tr>
<td>Reach-back date for auto-subscribing to applicants</td>
<td>Submission Event Date</td>
<td>6-months</td>
</tr>
</tbody>
</table>
Glossary

Applicant – A physical person that is submitting, or has previously submitted, fingerprints for the purpose of obtaining a criminal history check for an authorized purpose. Applicant fingerprint based results is stored in the CCH and, if present, is associated with the Texas arrest data in the CCH.

Applicant Purpose –

Applicant Purpose Group –

Applicant Submission – An Applicant Submission is a specific instance of fingerprints being submitted for the purpose of employment. The submission may be because a previous applicant submission was rejected by either the CCH or the FBI.

Applicant Submission, Pending Status – An applicant submission record where the record has not yet been updated in the criminal history database or the FBI Response has not yet been received.

Applicant Submission, Completed Status – An applicant submission record where both CCH and FBI systems have accepted the quality of the fingerprints and the final CCH and final FBI Response have been received.

Applicant Submission, Rejected Status – An applicant submission with an accepted CCH Response but a rejected FBI Response. Records with a rejected FBI response will have a subscription created, if required. If CCH has accepted the quality of the fingerprint but they have been rejected by the FBI a subscription will created as required.

Applicant Record – Consolidation of applicant records based on a fingerprint match. Includes: the most recently reported Identification and arrest information from the CCH, Applicant Submissions and Subscription from the FACT Clearinghouse and the last reported FBI Response.

Texas AFIS Response – A fingerprint matching response for an Applicant Submission from the CCH indicating if the Applicant is on file and if the applicant has associated Texas Arrest Data.

Texas Criminal History – Texas arrest information associated with the representation of fingerprints retained by the Department for the identification of arrest information. The Criminal Record always contains the latest information that has been reported to the Computerized Criminal History Database (CCH).

The data representing a Criminal Record contains identification and arrest information. It is available in both a custom XML and NIEM format. A Criminal Record may also be a CCH Applicant Record.

FBI AFIS Response – The FBI responds to fingerprint requests with one of the following responses:

a) Various “Rejected” messages.
b) “No Prior Arrest” presented in a text-formatted message
c) “Identified” followed by arrest information presented in a text-formatted message. This arrest information may include Texas and Non-Texas arrest information.

FBI Criminal History –

Identification Record – Identification information (Name, Sex, Race, Etc) that is shared by Applicant Records and Criminal Records.

Texas Subscription – A subscription is the association of a SID with an Organization that results in the agency being notified of changes to the Clearinghouse Record by the way of a Subscription Event.

Texas Subscription Event – A subscription event is generated when there is a change to the state of the subscription.
Qualifying Event –

Notification –

Notification Recipient –

Open Applicant – The state of an applicant when a new Qualifying Event or Texas Subscription Event has occurred and the applicant record has yet to be reviewed by the Agency.

Subscription Validation (?) –

FBI Rap Back Subscription (?) –
Appendix A – Using SoapUI
Instructions for calling the ValidateCredentials() method using SoapUI.

- Setup the WSDL
- Configure the Endpoint
- Setup WS-Security
- Instruct the Method to use WS-Security
- Execute the method

Setup the WSDL

1. Create an empty project
   a. Open “File” Menu
   b. Select “Create Empty Project”

2. Add a WSDL to the project
   a. Right-Click on the Project
   b. Click “Add WSDL”
c. Enter the URL for the WSDL & click OK.

You should now see the methods:

Configure the Endpoints
1. Click on the WSDL
2. Navigate to the “Service Endpoints” tab
3. Update the endpoint to the original WSDL’s URL
Setup WS-Security

1. Double-Click on the Project folder
2. Navigate to the tab “WS-Security Configurations”
3. Click on “+”
4. Enter any configuration name
5. Enter your user name & passwords
6. Select “Must Understand”
7. Click “+”
8. Choose “Username”
Instruct the Method to use WS-Security
1. Click on the request
2. Navigate to the “Auth” panel
3. Select “Add new Authorization”
4. Select “Basic” and click ok.

5. Select the item you created previously.

Execute the Method
1. Select the request to run
2. Click “Play”
3. Result should display. If not, confirm you are using the correct password.
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Appendix B – Using the WS Client

STEP 0 – Confirm User Name

1. Confirm you can connect to the WS by entering your User Name and Password, then clicking Login.
2. You should see a response that does not indicate an error.
STEP 1 – View Worklist Summary

1. Confirm you have the User Name/Password inputs populated
2. Click Button (1) to load the worklist summary
3. Review the worklist information.
4. Select a worklist before continuing to STEP2
STEP 2 – View Worklist Detail

1. Complete STEP1
2. Click Button (2) to display details of the worklist selected in STEP1
3. Select an applicant before continuing to STEP3
STEP 3 – View Applicant Record

1. Confirm you have completed STEP 1 and STEP 2
2. Click Button (3) to display the selected applicant record
3. Review the XML response.

TIP: You will want to use an XML editor to format/view the XML. You can save the response to a local file and name it with an extension of .XML. You can then view the file in your web browser.